

Plesha Scott M.
Form 3
January 02, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Plesha Scott M.

(Last) (First) (Middle)

C/O BIODELIVERY
SCIENCES INTL, INC.,Â 4131
PARKLAKE AVE. SUITE 225

(Street)

RALEIGH,Â NCÂ 27612

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
12/20/2017

3. Issuer Name and Ticker or Trading Symbol

BIODELIVERY SCIENCES INTERNATIONAL INC
[BDSI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other
(give title below) (specify below)

President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

130,031

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (1)(4)	08/10/2018	Common Stock	109,296	\$ 0	D	Â
Restricted Stock Units	Â (2)(4)	03/01/2019	Common Stock	35,000	\$ 0	D	Â
Restricted Stock Units	Â (3)(4)	02/06/2020	Common Stock	25,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Plesha Scott M. C/O BIODELIVERY SCIENCES INTL, INC., 4131 PARKLAKE AVE. SUITE 225 RALEIGH, NC 27612	Â	Â	Â President	Â

Signatures

/s/ Scott M
Plesha

01/02/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The Restricted Stock Units (each a "RSU") were issued to Scott M. Plesha (the "Reporting Person") on August 10, 2015, pursuant to a
- (1) grant under the Issuer's 2011 Equity Incentive Plan, as amended (the "Plan"). The RSUs vested as to one-third on, (i) August 10, 2016; and; (ii) August 10, 2017. One-third of the RSUs will vest on August 10, 2018.
 - (2) The RSUs were issued to the Reporting Person on February 29, 2016, pursuant to a grant under the Plan. The RSUs vested as to one-third on March 1, 2017. One-third of the RSUs will vest on each of, (i) March 1, 2018; and (ii) March 1, 2019.
 - (3) The RSUs were issued to the Reporting Person on February 6, 2017, pursuant to a grant under the Plan. One-third of the RSUs will vest on each of, (i) February 6, 2018; (ii) February 6, 2019; and (iii) February 6, 2020.
 - (4) Upon vesting, each RSU entitles the Reporting Person to one share of the Issuer's common stock. The Reporting Person has been granted the right to elect to defer actual payment of a vested RSU award, subject to compliance with Internal Revenue Code Section 409A.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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