

FreightCar America, Inc.  
Form 5  
March 10, 2017

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**MALIEKEL JOSEPH J**  
  
(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol  
**FreightCar America, Inc. [RAIL]**  
  
3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**12/31/2016**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**VP, Corp Controller and PAO**

**TWO NORTH RIVERSIDE PLAZA**  
**SUITE 1300**  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting  
  
(check applicable line)

**CHICAGO, IL 60606**  
  
(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--|--|---|
|                                 |                                      |  |                                | Amount  | (A) or (D) | Price  |  |   |
| Common Stock                    | 08/26/2015                           | Â  | L5(1)                          | 4.639   | A          | \$ 19.4007   | D  | Â   |
| Common Stock                    | 11/25/2015                           | Â  | L5(1)                          | 3.741   | A          | \$ 24.17   | D  | Â   |
| Common Stock                    | 02/24/2016                           | Â  | L5(1)                          | 5.686   | A          | \$ 15.9603   | D  | Â   |
| Common Stock                    | 05/25/2016                           | Â  | L5(1)                          | 6.311   | A          | \$ 14.4621   | D  | Â   |

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|              |            |   |                   |       |   |            |           |   |   |
|--------------|------------|---|-------------------|-------|---|------------|-----------|---|---|
| Common Stock | 08/24/2016 | Â | L5 <sup>(1)</sup> | 6.134 | A | \$ 14.9707 | 8,413.511 | D | Â |
| Common Stock | 11/23/2016 | Â | L5 <sup>(1)</sup> | 6.163 | A | \$ 14.9911 | 8,419.674 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy)       | \$ 25.55   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (2) 01/15/2024   | Common Stock  | 9,825                         |
| Employee Stock Option (right to buy)       | \$ 24.56   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (3) 01/18/2023   | Common Stock  | 5,000                         |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                               |       |
|--|---------------|-----------|-------------------------------|-------|
|  | Director      | 10% Owner | Officer                       | Other |
| MALIEKEL JOSEPH J<br>TWO NORTH RIVERSIDE PLAZA SUITE 1300<br>CHICAGO, IL 60606 | Â             | Â         | Â VP, Corp Controller and PAO | Â     |

## Signatures

/s/ Georgia L. Vlamis, as attorney  
in fact

03/10/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) FreightCar America, Inc. dividends reinvested through open market purchase.
  - (2) On January 15, 2014 the recipient was granted 9,825 options which are fully vested and currently exercisable.
  - (3) On January 18, 2013 the recipient was granted 5,000 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.