

FLUIDIGM CORP
Form 3
August 17, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â UNGER MARC</p> <p>(Last) (First) (Middle)</p> <p>FLUIDIGM CORPORATION,Â 7000 SHORELINE COURT SUITE 100</p> <p>(Street)</p> <p>SOUTH SAN FRANCISCO,Â CAÂ 94080</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/06/2015</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>FLUIDIGM CORP [FLDM]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) See Remarks</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,401	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (1)	08/26/2020	Common Stock	934	\$ 4.4461	D	Â
Employee Stock Option (Right to Buy)	Â (1)	01/04/2021	Common Stock	4,850	\$ 8.3732	D	Â
Employee Stock Option (Right to Buy)	Â (1)	01/04/2021	Common Stock	270	\$ 8.3732	D	Â
Employee Stock Option (Right to Buy)	Â (2)	03/05/2022	Common Stock	20,000	\$ 14.55	D	Â
Employee Stock Option (Right to Buy)	Â (3)	02/15/2023	Common Stock	55,000	\$ 16.73	D	Â
Employee Stock Option (Right to Buy)	Â (4)	03/14/2024	Common Stock	14,000	\$ 47.55	D	Â
Employee Stock Option (Right to Buy)	Â (4)	03/14/2024	Common Stock	12,000	\$ 47.55	D	Â
Employee Stock Option (Right to Buy)	Â (5)	03/12/2025	Common Stock	12,000	\$ 41.14	D	Â
Restricted Stock Units	Â (6)	Â (7)	Common Stock	3,734	\$ 0	D	Â
Restricted Stock Units	Â (8)	Â (7)	Common Stock	3,200	\$ 0	D	Â
Restricted Stock Units	Â (9)	Â (7)	Common Stock	4,401	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
UNGER MARC FLUIDIGM CORPORATION 7000 SHORELINE COURT SUITE 100 SOUTH SAN FRANCISCO, CA 94080	Â	Â	Â See Remarks	Â

Signatures

Valerie Barnett,
attorney-in-fact

08/17/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Option is fully vested.
- (2) 1/48th of the shares subject to the Option vested on January 1, 2012 and 1/48th of the shares subject to the Option vested on February 1, 2012 and each month thereafter, such that the Option will be fully vested on January 1, 2016.
- (3) 1/48th of the shares subject to the Option vested on January 1, 2013 and 1/48th of the shares subject to the Option vested on February 1, 2013 and each month thereafter, such that the Option will be fully vested on January 1, 2017.
- (4) 1/48th of the shares subject to the Option vested on January 1, 2014 and 1/48th of the shares subject to the Option vested on February 1, 2014 and each month thereafter, such that the Option will be fully vested on January 1, 2018.
- (5) 2/48th of the shares subject to the Option vested on March 12, 2015 and 1/48th of the shares subject to the Option vest on April 1, 2015 and each month thereafter, such that the Option will be fully vested on January 1, 2019.

(6) On March 14, 2014, the Reporting Person was originally granted 5,600 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

(7) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.

(8) On March 14, 2014, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

(9) On March 12, 2015, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2015, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

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Remarks:

Sr.Â VP,Â R&DÂ &Â Single-CellÂ BiologyÂ Marketing

ExhibitÂ List

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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