

Edgar Filing: SIMMONS RICHARD P - Form SC 13G/A

SIMMONS RICHARD P  
Form SC 13G/A  
February 06, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G/A

Under the Securities Exchange Act of 1934  
(Amendment No. 3)\*

WATER PIK TECHNOLOGIES, INC.

-----  
(Name of Issuer)

Common Stock, par value \$.01 per share

-----  
(Title of Class of Securities)

94113U 10 0

-----  
(CUSIP Number)

December 31, 2001

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

/ / Rule 13d-1(b)  
/ X / Rule 13d-1(c)  
/ / Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of the section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1. Names of Reporting Persons.  
I.R.S. Identification Nos. of above persons (entities only).

Richard P. Simmons  
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2. Check the Appropriate Box if a Member of a Group  
(a)

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(b) -----  
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3. SEC Use Only -----
4. Citizenship or Place of Organization U.S.A.  
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- |                |                             |         |
|----------------|-----------------------------|---------|
| Number of      | 5. Sole Voting Power        | 859,190 |
| Shares         |                             | -----   |
| Beneficially   | 6. Shared Voting Power      | 0       |
| Owned by       |                             | -----   |
| Each Reporting | 7. Sole Dispositive Power   | 859,190 |
| Person With:   |                             | -----   |
|                | 8. Shared Dispositive Power | 0       |
|                |                             | -----   |
9. Aggregate Amount Beneficially Owned by Each Reporting Person  
859,190  
-----
10. Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares  [X]  
-----
- Mr. Simmons disclaims beneficial ownership of 11,895 shares owned by the R.P. Simmons Family Foundation, a private charitable foundation with respect to which Mr. Simmons serves as trustee.
11. Percent of Class Represented by Amount in Row (9) 7.0%  
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12. Type of Reporting Person IN  
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Item 1.

- (a) Name of Issuer  
WATER PIK TECHNOLOGIES, INC.  
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- (b) Address of Issuer's Principal Executive Offices  
23 Corporate Plaza, Suite 246, Newport Beach, CA 92660  
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Item 2.

- (a) Name of Person Filing

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Richard P. Simmons  
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(b) Address of Principal Business Office or, if none,  
Residence

Birchmere, Quaker Hollow Road, Sewickley, PA 15143  
-----

(c) Citizenship

U.S.A.  
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(d) Title of Class of Securities

Common Stock, \$.01 par value per share  
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(e) CUSIP Number

941143U 10 0  
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Item 3. If this statement is filed pursuant to ss.ss.240.13d-1(b) or  
240.13d-2(b) or (c), check whether the person filing is a:

- (a) / / Broker of dealer registered under section 15 of the Act;
- (b) / / Bank as defined in section 3(a)(6) of the Act;
- (c) / / Insurance company as defined in section 3(a)(19) of the Act;
- (d) / / Investment company registered under section 8 of the  
Investment Company Act of 1940;
- (e) / / An investment adviser in accordance with  
ss.240.13d-1(b)(1)(ii)(E);
- (f) / / An employee benefit plan or endowment fund in accordance with  
ss.240.13d-1(b)(1)(ii)(F);
- (g) / / A parent holding company or control person in accordance with  
ss.240.13d-1(b)(1)(ii)(G);
- (h) / / A savings association as defined in Section 3(b) of the  
Federal Deposit Insurance Act;
- (i) / / A church plan that is excluded from the definition of an  
investment company under section (c)(14) of the Investment  
Company Act of 1940;

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(j) / / Group, in accordance with ss.240.13d-1((b)(1)(ii)(J)

Item 4. Ownership.

See Sections 5-9 and 11 of the cover page.

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof, the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following:

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Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company

Not applicable.

Item 8. Identification and Classification of Members of the Group

Not applicable.

Item 9. Notice of Dissolution of Group

Not applicable.

Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 6, 2002

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Date

/s/ Richard P. Simmons

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Richard P. Simmons