II-VI INC Form 4 October 02, 2006

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires: 2005

Estimated average 0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person 2  MORRISON DUNCAN A J  (Last) (First) (Middle)  24824 DOWNIE LINE, RURAL ROUTE 4 |  |              | 2. Issuer<br>Symbol<br>II-VI IN                             |                |     | Ticker or 7                                   | Fradir  | 5. Relationship of Reporting Person(s) to Issuer |  |  |   |
|---|--|--------------|---|----------------|-----|---|---|--|--|--|---|
|   |  |              | 3. Date of Earliest Transaction (Month/Day/Year) 09/29/2006 |                |     |   |   |  | (Check all applicable)  _X_ Director 10% Owner Officer (give title below)  Other (specify below)                   |  |   |
|   | 4. If Amendment, Date Original Filed(Month/Day/Year) |              |   |                |     |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |   |
| WEST LOR  | NE, A6 N0L 2P0                                       | )            |   |                |     |   |   |  | Form filed by Person   | More than One Re   | eporting  |
| (City)  | (State)  | (Zip)        | Table   | e I - Non      | -De | erivative S                                   | Securi  | ities Acc  | quired, Disposed o   | of, or Beneficial  | ly Owned  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)              | Executio any |   | Code (Instr. 8 |     | 4. Securit<br>n(A) or Di<br>(D)<br>(Instr. 3, | spose   | d of   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock   | 09/29/2006   |              |   | S              | ·   | 5,000   | D   | \$<br>25.5                                       | 25,840   | D  |   |
| Common<br>Stock   |  |              |   |                |     |   |   |  | 3,000  | I  | By Spouse   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |                     | ate                | Amou<br>Under<br>Securi | Title and Amount of Underlying Securities Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------------------------|---|---|---|
|   |   |   |   | Code V                                 | 4, and 5) (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                   | Amount<br>or<br>Number<br>of<br>Shares                    |   |   |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

MORRISON DUNCAN A J 24824 DOWNIE LINE, RURAL ROUTE 4 X WEST LORNE, A6 N0L 2P0

### **Signatures**

/s/ Michelle L. Freehling, Attorney-in-Fact

10/02/2006

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person disclaims beneficial ownership of shares owned by his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2