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ARRHYTHMIA RESEARCH TECHNOLOGY INC /DE/

Form 8-K August 07, 2003

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): August 5, 2003

Arrhythmia Research Technology, Inc.

(Exact name of issuer as specified in its charter)

Delaware (State or other jurisdiction of Incorporation or organization) 1-9731 (Commission File Number)

72-0925679 (I.R.S. Employer Identification Number)

25 Sawyer Passway Fitchburg, MA 01420 (Address of principal executive offices and zip code)

(978) 345-5000

(Registrant s telephone number, including area code)

Item 5. Other Events and Regulation FD Disclosures

On August 5, 2003 the Board of Directors of Arrhythmia Research Technology, Inc. (the Company) declared a cash dividend. The Company issued a press release dated August 5, 2003 announcing the cash dividend, which is attached as Exhibit 99.1 to this report.

Item 7. Financial Statements, Pro Forma Financial Information and Exhibits

(c). Exhibits

Exhibit Number	<u>Description</u>
99.1	Press Release dated August 5, 2003 announcing cash dividend.
99.2	Press Release dated August 5, 2003 announcing its financial results for the 2nd quarter ended
	June 30, 2003

Item 12. Financial Statements

On August 5, 2003, The Company issued a press release announcing its financial results for the 2nd quarter ended June 30, 2003. The press release is attached as Exhibit 99.2 to this report.

The information set forth in this Item 12 and Exhibit 99.2 are furnished to, but shall not be deemed filed with the Commission for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act), or otherwise incorporated by reference into any filing pursuant to the Securities Act of 1933, as amended, or the Exchange Act, except as otherwise expressly stated in such a filing.

SIGNATURE

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Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized, in the City of Fitchburg, Commonwealth of Massachusetts, on the 7th day of August 2003.

ARRHYTHMIA RESEARCH TECHNOLOGY, INC.

By: <u>/s/ David A. Garrison</u>
David A. Garrison
Chief Financial Officer

Exhibit Index

Exhibit Number	<u>Description</u>
99.1	Press Release dated August 5, 2003 announcing cash dividend.
99.2	Press Release dated August 5, 2003 announcing its financial results for the 2nd quarter ended
	June 30, 2003.
d valign="bottom"	align="center">(A) or (D)Price Class A Common Stock (1) 12/15/2009 A 19,518 A \$ 0 179,102 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) crivative curities equired) or sposed (D) sstr. 3,		7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	
			Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Retelny Gary MSCI INC. 88 PINE STREET NEW YORK, NY 10005

Head of Strategy & Bus. Dev.

Exhibit Index 2

Signatures

/s/ Gary Retelny 12/16/2009

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units vesting over a three-year period, with 33 1/3% vesting on each of December 20, 2010, December 19, 2011 and December 17, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. $0 \stackrel{(1)}{=} 43,510 \, \text{D}$ Common Stock02/17/2017 D 558 D \$ 78.7 42,952 D Common Stock02/17/2017 M 558 A \$ $0 \stackrel{(1)}{=} 43,510 \, \text{D}$ Common Stock02/17/2017 F $\stackrel{(2)}{=} 256 \, \text{D}$ \$ 78.7 43,254 D Common Stock02/17/2017 A 234 A \$ $0 \stackrel{(3)}{=} 43,488 \, \text{D}$ Common Stock02/17/2017 F $\stackrel{(2)}{=} 109 \, \text{D}$ \$ 78.7 43,379 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/17/2017		M	1,432	<u>(4)</u>	<u>(4)</u>	Common Stock	1,432	9
Restricted Stock Units	<u>(1)</u>	02/17/2017		M	1,540	(5)	(5)	Common Stock	1,540	9
Restricted Stock Units	(1)	02/17/2017		M	1,299	<u>(6)</u>	(6)	Common Stock	1,299	9
Restricted Stock	(1)	02/17/2017		M	1,116	<u>(7)</u>	(7)	Common Stock	1,116	9

Signatures 3

Units

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Falck David P 400 NORTH 5TH STREET MS 8602 PHOENIX, AZ 85004

EVP & GC

Signatures

/s/ Diane Wood, Attorney-in-Fact

02/22/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Each Restricted Stock Unit represents a contingent right to receive the economic equivalent of one share of the Company's common
- (1) stock. The Restricted Stock Units will be settled, at the reporting person's election, in shares of common stock or 50% in common stock and 50% in cash.
- (2) Shares retained by the Company for the purpose of meeting tax withholding requirements. The recipient retained all other shares.
- (3) Represents shares of common stock received by the individual in settlement of dividend rights granted in connection with the 2013, 2014, 2015 and 2016 Restricted Stock Unit grants.
- The Restricted Stock Units award was granted and was effective in February 2013, and vests in four equal, annual installments beginning on February 20, 2014. Since February 20, 2017 was a Federal holiday, the Restricted Stock Units vested and were released on February 17, 2017.
- The Restricted Stock Units award was granted and was effective in February 2014, and vests in four equal, annual installments beginning on February 20, 2015. Since February 20, 2017 was a Federal holiday, the Restricted Stock Units vested and were released on February 17, 2017.
- The Restricted Stock Units award was granted and was effective in February 2015, and vests in four equal, annual installments beginning on February 20, 2016. Since February 20, 2017 was a Federal holiday, the Restricted Stock Units vested and were released on February 17, 2017.
- The Restricted Stock Units award was granted and was effective in February 2016, and vests in four equal, annual installments beginning on February 20, 2017. Since February 20, 2017 was a Federal holiday, the Restricted Stock Units vested and were released on February 17, 2017.

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