

CARNIVAL CORP
Form 4
January 07, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PEREZ ARNALDO

(Last) (First) (Middle)

C/O CARNIVAL CORPORATION, 3655 NW 87TH AVE

(Street)

MIAMI, FL 33178

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CARNIVAL CORP [CCL]

3. Date of Earliest Transaction (Month/Day/Year)
01/03/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr. Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 01/03/2008 | | M | | 7,318 A \$ 26.4062 | (2) | D |
| Common Stock | 01/03/2008 | | S | | 18 (1) D \$ 43.08 | (2) | D |
| Common Stock | 01/03/2008 | | S | | 400 (1) D \$ 43.09 | (2) | D |
| Common Stock | 01/03/2008 | | S | | 200 (1) D \$ 43.18 | (2) | D |
| Common Stock | 01/03/2008 | | S | | 100 (1) D \$ 43.19 | (2) | D |

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| | | | | | | | |
|--------------|------------|---|----------------|---|------------|---------------------------|---|
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.21 | 13,797.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 300 <u>(1)</u> | D | \$ 43.28 | 13,497.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.31 | 13,097.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 800 <u>(1)</u> | D | \$ 43.33 | 12,297.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.34 | 11,897.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 800 <u>(1)</u> | D | \$ 43.35 | 11,097.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 200 <u>(1)</u> | D | \$ 43.36 | 10,897.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 800 <u>(1)</u> | D | \$ 43.39 | 10,097.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 200 <u>(1)</u> | D | \$ 43.4 | 9,897.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 300 <u>(1)</u> | D | \$ 43.43 | 9,597.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 500 <u>(1)</u> | D | \$ 43.44 | 9,097.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.47 | 8,697.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 300 <u>(1)</u> | D | \$ 43.48 | 8,397.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.49 | 7,997.0747 <u>(2)</u> | D |
| Common Stock | 01/03/2008 | S | 400 <u>(1)</u> | D | \$ 43.5 | 7,597.0747 <u>(2)</u> | D |
| Common Stock | 01/07/2008 | M | 4,376 | A | \$ 26.4062 | 11,973.0747 <u>(2)</u> | D |
| Common Stock | 01/07/2008 | S | 4,300 | D | \$ 41.55 | 7,673.0747 <u>(2)</u> | D |
| Common Stock | 01/07/2008 | S | 76 | D | \$ 41.52 | 7,597.0747 <u>(2)</u> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy) | \$ 26.4062 | 01/03/2008 | | M | 7,318 | 01/12/2003 01/12/2008 | Common Stock | 7,318 |
| Stock Option (Right to Buy) | \$ 26.4062 | 01/07/2008 | | M | 4,376 | 01/12/2003 01/12/2008 | Common Stock | 4,376 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| PEREZ ARNALDO C/O CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178 | | | Sr. Vice President | |

Signatures

Arnaldo Perez 01/07/2008
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales made pursuant to a Rule 10b 5-1 Sales Plan.
- (2) Includes shares acquired pursuant to purchase under, or the dividend reinvestment feature of, the Carnival Corporation Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.