

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

FIRST OPPORTUNITY FUND INC

Form NSAR-B

May 28, 2014

PAGE 1
000 B000000 03/31/2014
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST OPPORTUNITY FUND, INC.
001 B000000 811-4605
001 C000000 3034445483
002 A000000 2344 SPRUCE STREET, SUITE A
002 B000000 BOULDER
002 C000000 CO
002 D010000 80302
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 STEWART WEST INDIES TRADING COMPANY, LTD
008 B000001 A
008 C000001 801-56522
008 D010001 ST. PETER
008 D050001 BARBADOS
008 A000002 ROCKY MOUNTAIN ADVISERS, LLC
008 B000002 A
008 C000002 801-70202
008 D010002 BOULDER
008 D020002 CO
008 D030002 80302
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC
010 B000001 84-1282398
010 C010001 BOULDER
010 C020001 CO
010 C030001 80302
010 A000002 ALPS FUND SERVICES, INC.
010 B000002 84-5730
010 C010002 DENVER
010 C020002 CO
010 C030002 80203
012 A000001 COMPUTERSHARE TRUST COMPANY, NA
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 DENVER
013 B020001 CO
PAGE 2
013 B030001 80202
015 A000001 BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

015 E010001 X
015 A000002 NATIONAL AUSTRALIA BANK
015 B000002 S
015 C010002 MELBOURNE
015 D010002 AUSTRALIA
015 E040002 X
015 A000003 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000003 S
015 C010003 COPENHAGEN
015 D010003 DENMARK
015 E040003 X
015 A000004 BNP PARIBAS SECURITIES SERVICES
015 B000004 S
015 C010004 PARIS
015 D010004 FRANCE
015 E040004 X
015 A000005 BANK OF NEW YORK, LONDON
015 B000005 S
015 C010005 LONDON
015 D010005 ENGLAND
015 E040005 X
015 A000006 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000006 S
015 C010006 KOWLOON
015 D010006 HONG KONG
015 E040006 X
015 A000007 DEUTSCHE BANK, AG (INDIA)
015 B000007 S
015 C010007 MUMBAI
015 D010007 INDIA
015 E040007 X
015 A000008 BANK OF TOKYO
015 B000008 S
015 C010008 TOKYO
015 D010008 JAPAN
015 E040008 X
015 A000009 NATIONAL AUSTRALIA BANK NEW ZEALAND-NATIONAL
015 B000009 S
015 C010009 WELLINGTON
015 D010009 NEW ZEALAND
015 E040009 X
015 A000010 DEUTSCHE BANK (TURKEY)
015 B000010 S
015 C010010 ISTANBUL
015 D010010 TURKEY
PAGE 3
015 E040010 X
015 A000011 DEUTSCHE BANK, AG (JAKARTA)
015 B000011 S
015 C010011 JAKARTA
015 D010011 INDONESIA
015 E040011 X
015 A000012 EUROCLEAR
015 B000012 S
015 C010012 BRUSSELS
015 D010012 BELGIUM
015 E040012 X
015 A000013 CLEARSTREAM BANKING S.A.
015 B000013 S
015 C010013 FRANKFURT
015 D010013 GERMANY
015 E040013 X

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

018 000000 Y
 019 A000000 Y
 019 B000000 4
 019 C000000 BOULDERINV
 020 A000001 BLOOMBERG TRADEBOOK LLC
 020 B000001 13-3880286
 020 C000001 3
 020 A000002 KNIGHT CAPITAL GROUP
 020 B000002 22-3660471
 020 C000002 2
 020 A000003 BNY CONVERGEX EXECUTION SOLUTIONS LLC
 020 B000003 13-3989198
 020 C000003 1
 020 A000004 G-TRADE SERVICES, LLC
 020 B000004 14-1950353
 020 C000004 1
 021 000000 8
 022 A000001 DREYFUS TREASURY CASH MANAGEMENT
 022 C000001 29039
 022 D000001 24849
 022 A000002 JP MORGAN PRIME MONEY MARKET FUND
 022 C000002 0
 022 D000002 3900
 023 C000000 29039
 023 D000000 28749
 024 000000 Y
 025 A000001 JP MORGAN PRIME MONEY MARKET FUND
 025 B000001 -
 025 C000001 E
 025 D000001 3000
 025 A000002 DREYFUS TREASURY CASH MANAGEMENT
 025 B000002 -
 025 C000002 E
 025 D000002 8489
 025 A000003 JP MORGAN CHASE & CO.
 PAGE 4
 025 B000003 13-2624428
 025 C000003 E
 025 D000003 18444
 026 A000000 N
 026 B000000 N
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 Y
 047 000000 Y
 048 000000 1.250
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	Y	
053	B000000	Y	
053	C000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
	PAGE	5	
054	F000000	N	
054	G000000	Y	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	N	
054	N000000	N	
054	O000000	Y	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	N	
060	B000000	N	
061	000000		0
062	A000000	N	
062	B000000	0.0	
062	C000000	0.0	
062	D000000	0.0	
062	E000000	0.0	
062	F000000	0.0	
062	G000000	0.0	
062	H000000	0.0	
062	I000000	0.0	
062	J000000	0.0	
062	K000000	0.0	
062	L000000	0.0	
062	M000000	0.0	
062	N000000	0.0	
062	O000000	0.0	
062	P000000	0.0	
062	Q000000	0.0	

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

062	R000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	N
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	Y
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
	PAGE	6
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	Y
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	Y
070	P020000	N
070	Q010000	Y
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	11451
071	B000000	17666
071	C000000	316673
071	D000000	4
072	A000000	12
072	B000000	3
072	C000000	5563
072	D000000	0
072	E000000	0
072	F000000	2954
072	G000000	746
072	H000000	0
072	I000000	22

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

072 J000000 26
 072 K000000 0
 072 L000000 33
 072 M000000 99
 072 N000000 0

PAGE 7

072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 131
 072 S000000 95
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 76
 072 X000000 4182
 072 Y000000 212
 072 Z000000 1596
 072AA000000 0
 072BB000000 1484
 072CC010000 48190
 072CC020000 0
 072DD010000 7345
 072DD020000 0
 072EE000000 0
 073 A010000 0.2556
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 74
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 213678
 074 G000000 0
 074 H000000 0
 074 I000000 130937
 074 J000000 342
 074 K000000 0
 074 L000000 139
 074 M000000 23
 074 N000000 345193
 074 O000000 0
 074 P000000 288
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 182
 074 S000000 0
 074 T000000 344723
 074 U010000 28739
 074 U020000 0
 074 V010000 11.99
 074 V020000 0.00
 074 W000000 0.0000

PAGE 8

074 X000000 492
 074 Y000000 0
 075 A000000 0
 075 B000000 323741

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

076 000000 9.32
077 A000000 Y
077 B000000 Y
077 Q010000 Y
077 Q020000 Y
078 000000 Y
080 A000000 ST. PAUL FIRE & MARINE INSURANCE CO.
080 C000000 2775
081 A000000 Y
081 B000000 3
082 A000000 N
083 A000000 N
084 A000000 N
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 Common Stock
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE Nicole Murphey
TITLE Assistant Treasurer