

NORDSTROM INC  
Form 3  
June 08, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Maher Michael W		(Month/Day/Year)	NORDSTROM INC [JWN]	
(Last)	(First)	(Middle)	06/03/2017	
C/O NORDSTROM, INC., Â 1617 SIXTH AVENUE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
SEATTLE, Â WA Â 98101			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Chief Accounting Officer	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	22,984 <sup>(1)</sup>	D	Â
Common Stock	2,237.48	I	By 401(k) Plan, per statement dated 5/31/2017.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (2)	03/03/2024	Common Stock	2,270	\$ 57.16	D	Â
Employee Stock Option (right to buy)	Â (3)	02/24/2025	Common Stock	2,360	\$ 75.23	D	Â
Employee Stock Option (right to buy)	Â (4)	02/28/2026	Common Stock	3,615	\$ 51.32	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Maher Michael W C/O NORDSTROM, INC. 1617 SIXTH AVENUE SEATTLE, WA 98101	Â	Â	Â Chief Accounting Officer	Â

## Signatures

/s/ Paula McGee, Attorney-in-Fact for Michael W. Maher

06/08/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes Restricted Stock Award of 198 RSUs granted on 3/3/2014 vesting over a 4-year period commencing on 3/3/2015; 320 RSUs granted on 2/24/2015 vesting over a 4-year period commencing 2/24/2016; 871 RSUs granted on 2/29/16 vesting over a 4-year period commencing 3/10/17; 14,890 RSUs granted on 6/7/2016 vesting 50% on 6/10/2018 and 50% on 6/10/2019; 2,654 RSUs granted on 2/28/2017 vesting over a 4-year period commencing 3/10/18; and 1,912 RSUs granted on 5/19/2017 vesting over a 4-year period commencing 6/10/2018.
- (1) commencing 3/10/17; 14,890 RSUs granted on 6/7/2016 vesting 50% on 6/10/2018 and 50% on 6/10/2019; 2,654 RSUs granted on 2/28/2017 vesting over a 4-year period commencing 3/10/18; and 1,912 RSUs granted on 5/19/2017 vesting over a 4-year period commencing 6/10/2018.
  - (2) The option vested and became exercisable in four equal annual installments commencing 3/3/2015.
  - (3) The option vested and became exercisable in four equal annual installments commencing 2/24/2016.
  - (4) The option vested and became exercisable in four equal annual installments commencing 3/10/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. colspan="2" valign="bottom" style="border:none;border-bottom:solid windowtext 1.0pt;padding:0in .7pt 0in 0in;width:18.62%;">

October 30,  
2004

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October 25,  
2003

Net assets:

Hormel Foods Corporation common stock

\$

**30,505,029**

\$

24,917,434

IBT Money Market Fund

**384,390**

298,763

Total nonparticipant-directed investments

\$

**30,889,419**

\$

Explanation of Responses:

	Year Ended	
	October 30, 2004	October 25, 2003
Changes in net assets:		
Contributions	\$ 1,792,516	\$ 1,416,813
Net appreciation (depreciation)	5,336,587	(855,300)
Benefits paid to participants	(1,454,415)	(759,881)
Administrative expenses	(1,466)	(197)
	\$ 5,673,222	\$ (198,565)

## 5. Income Tax Status

The Plan has received a determination letter from the Internal Revenue Service (IRS) dated March 13, 2003, stating that the Plan is qualified under Section 401(a) of the Code and, therefore, the related trust is exempt from taxation. Once qualified, the Plan is required to operate in conformity with the Code to maintain its qualification. The Plan was amended subsequent to the IRS determination letter. The plan administrator believes the Plan is being operated in compliance with the applicable requirements of the Code and, therefore, believes that the Plan is qualified and the related trust is tax-exempt.

## 6. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities could occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

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Hormel Foods Corporation

Joint Earnings Profit Sharing Plan

EIN: 41-0319970

Plan: 030

Schedule H, Line 4i Schedule of Assets (Held at End of Year)

October 30, 2004

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Par, or Maturity Value	Cost	Current Value
Nonpooled separate account:			
Hormel Stock Fund*	5,285,944 units	\$ 55,754,849	\$ 92,966,365
Insurance company general account:			
Massachusetts Mutual Life Insurance Company:*			
Fixed Income Fund	4,029,044 units		56,974,444
Pooled separate accounts:			
Massachusetts Mutual Life Insurance Company:*			
Aggressive Growth Fund	1,163,477 units		13,835,963
Conservative Growth Fund	279,371 units		3,491,750
Moderate Growth Fund	817,829 units		10,137,223
High Yield Fund	14,548 units		2,027,447
Sel Small Co Value	47,186 units		6,321,427
Sel Aggressive Growth Fund	66,204 units		3,619,918
Sel Indexed Equity Fund	8,558 units		2,501,938
Sel Large Cap Value Fund	24,055 units		3,541,556
Prm Core Bond Fund	754 units		1,026,198
Conservative Journey	5,414 units		683,848
Sel Fundamental Value	40,893 units		4,275,812
Total pooled separate accounts			51,463,080
Mutual funds:			
Manager's Special Equity Fund	459,295 units		4,899,574
American Funds Euro Pacific Fund	282,800 units		3,987,340
American Funds Growth R4 Fund	172,728 units		1,971,129
Total mutual funds			10,858,043
Self-directed brokerage assets			3,535,911
Total assets held for investment purposes at end of year		\$55,754,849	\$215,797,843

\*Indicates a party in interest to the Plan.

Explanation of Responses:



**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the trustees (or other persons who administer the employee benefit plan) have duly caused this annual report to be signed on their behalf by the undersigned hereunto duly authorized.

HORMEL FOODS CORPORATION  
JOINT EARNINGS PROFIT SHARING  
PLAN

Date: April 28, 2005

By                    /s/ M. J. McCOY  
                         M. J. McCOY  
                         Executive Vice President  
                         and Chief Financial Officer

**EXHIBIT INDEX**

<b>Exhibit Number</b>	<b>Description</b>
23	Consent of Independent Auditors