**GELLERT JAY M** Form 4

April 16, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Number:

**OMB APPROVAL** 

Washington, D.C. 20549

3235-0287 January 31, Expires:

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

| 1. Name and Address of Reporting Person * GELLERT JAY M |  |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |  |  |
|---|--|----------|--|---|--|--|
|   |  |          | VENTAS INC [VTR]                                   |   |  |  |
| (Last) (First) (Midd                                    |  | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |
|   |  |          | (Month/Day/Year)                                   | X Director 10% Owner  |  |  |
| 21650 OXNARD STREET, 22ND<br>FLOOR                      |  |          | 04/12/2018   | Officer (give title Delow) Other (specification)                            |  |  |
| (Street)  |  |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check                                   |  |  |
|   |  |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person                     |  |  |
| WOODLAND HILL C CA 012C7                                |  |          |  | Form filed by More than One Reporting                                       |  |  |

#### **WOODLAND HILLS, CA 91367**

(State)

(Zip)

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative Secur   | rities Acqu | ired, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acord Disposed of (Instr. 3, 4 and 5)  (A or Amount (D | D)<br>5)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 04/12/2018                              |   | A                                      | 614.786<br>(1) A   | \$<br>48.35 | 132,680.857<br>(2)   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: GELLERT JAY M - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.          | 6. Date Exer | cisable and | 7. Title | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|-------------|--------------|-------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber    | Expiration D | ate         | Amour    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of          | (Month/Day/  | Year)       | Underl   | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e            |             | Securit  | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities  |              |             | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |             | Acquired    |              |             |          |          |             | Follo  |
|             | ·           |                     |                    |             | (A) or      |              |             |          |          |             | Repo   |
|             |             |                     |                    |             | Disposed    |              |             |          |          |             | Trans  |
|             |             |                     |                    |             | of (D)      |              |             |          |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3,  |              |             |          |          |             |        |
|             |             |                     |                    |             | 4, and 5)   |              |             |          |          |             |        |
|             |             |                     |                    |             |             |              |             |          | A        |             |        |
|             |             |                     |                    |             |             |              |             |          | Amount   |             |        |
|             |             |                     |                    |             |             | Date         | Expiration  |          | or       |             |        |
|             |             |                     |                    |             |             | Exercisable  | Date        |          | Number   |             |        |
|             |             |                     |                    | ~           | <del></del> |              |             |          | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)     |              |             |          | Shares   |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| GELLERT JAY M<br>21650 OXNARD STREET<br>22ND FLOOR<br>WOODLAND HILLS, CA 91367 | X             |           |         |       |  |  |

### **Signatures**

Jay M. Gellert, By: T. Richard Riney, Attorney-In-Fact

04/13/2018

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Common stock in the form of units granted under the Ventas Nonemployee Directors' Deferred Stock Compensation Plan (the "Plan") as (1) a result of dividend equivalents credited with respect to the dividend on Issuer's common stock paid on April 12, 2018. Such units are payable solely in common stock and subject to the terms and conditions of the director's deferral election and the Plan.
- (2) As of April 12, 2018, Reporting Person owns options to purchase an aggregate of 23,760 shares of Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2