## Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS Form 4													
May 13, 2009										OMB A	PPROVAL		
FORM	<b>4</b> UNITED S	STATES						NGE (	COMMISSION	ОМВ	3235-0287		
Check thi if no long subject to Section 10 Form 4 or	er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES										Number: January 31 Expires: January 31 Estimated average burden hours per response 0.5		
Form 5 obligatior may conti <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section 17(a	response Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section ) of the Investment Company Act of 1940											
(Print or Type R	Responses)												
	ddress of Reporting I HAROLD C	Person <u>*</u>	Symbol			Ficker or 7		ıg	5. Relationship of Issuer	f Reporting Per	rson(s) to		
(Last)	(First) (N	rst) (Middle) 3. Date of Earliest Transaction					(Cheo	Check all applicable)					
, <i>,</i> ,	REEWAY, SUIT		(Month/Da 05/12/20	ay/Year]		isuction			_X_ Director _X_ Officer (given below) Chairman	X10 e titleOth below) of the Board &	er (specify		
	(Street)		4. If Amer	ndment,	Date	e Original			6. Individual or J	oint/Group Fili	ng(Check		
DALLAS, T	X 75240		Filed(Mon	th/Day/Y	ear)				Applicable Line) _X_ Form filed by Form filed by M Person				
(City)	(State)	(Zip)	Table	e I - Noi	1-De	rivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	Execution any	emed on Date, if Day/Year)	3. Transa Code (Instr.		4. Securi nAcquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code	V	Amount	(D)	Price	(Instr. 3 and 4)				
stock \$0.125 par value	05/12/2009			A <u>(1)</u>		1,000	A	<u>(1)</u>	881,600	D			
Common stock \$0.125 par value									40,387,531	I	by Valhi (2)		
Common stock \$0.125 par value									222,100	I	by TFMC $(3)$		

Common			
stock	260 775	т	by Spouse
\$0.125 par	269,775	1	(4)
value			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owna Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board & CEO					
Signatures								
A. Andrew R. Louis, Attorney-in-fact	t, for Harc	old C.						

A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons

\*\*Signature of Reporting Person

#### 05/13/2009

Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares issued for no cash consideration to nonemployee directors under the NL Industries, Inc. 1998 Long-Term Incentive Plan.

(2) Directly held by Valhi, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.