

WHANG MICHAEL

Form 3

January 12, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â WHANG MICHAEL

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/04/2018

3. Issuer Name and Ticker or Trading Symbol
AMTECH SYSTEMS INC [ASYS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President of Operations

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting

Person

☐ Form filed by More than One Reporting PersonC/O AMTECH SYSTEMS,
INC.,Â 131 S CLARK DRIVE

(Street)

TEMPE,Â AZÂ 85281

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Amtech Systems, Inc. Employee Stock Option	Â <u>(1)</u>	08/06/2020	Amtech Systems, Inc. \$.01 par value common stock	1,000	\$ 10.64	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(2)</u>	11/12/2020	Amtech Systems, Inc. \$.01 par value common stock	1,000	\$ 17.12	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(3)</u>	12/12/2023	Amtech Systems, Inc. \$.01 par value common stock	5,000	\$ 7.01	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(4)</u>	11/19/2024	Amtech Systems, Inc. \$.01 par value common stock	5,000	\$ 9.98	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(5)</u>	10/04/2026	Amtech Systems, Inc. \$.01 par value common stock	7,500	\$ 4.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHANG MICHAEL C/O AMTECH SYSTEMS, INC. 131 S CLARK DRIVE TEMPE, AZ 85281	Â	Â	Â Vice President of Operations	Â

Signatures

/s/ Michael Whang 01/12/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) One-half of the stock option granted vested on each of the first two anniversaries of the 08/06/2010 grant date.
- (2) One-half of the stock option granted vested on each of the first two anniversaries of the 11/12/2010 grant date.
- (3) One-half of the stock option granted vested on each of the first two anniversaries of the 12/12/2013 grant date.
- (4) One-half of the stock option granted vested on each of the first two anniversaries of the 11/19/2014 grant date.
- (5) One-half of the stock option granted vests on each of the first two anniversaries of the 10/04/2016 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.