

WILKS JEFFREY S
Form 5
January 11, 2019

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
WILKS JEFFREY S

2. Issuer Name and Ticker or Trading Symbol
VALLEY NATIONAL BANCORP [VLY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

1455 VALLEY ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

WAYNE, NJ 07470

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 43,395 | D | ^ |
| Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 120,386 | I | Lise Wilks Jeffrey Wilks Trustees under Emily Spiegel Trust FBO |

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| | | | | | | | | | |
|--------------|---|---|---|---|---|---|---------|---|---|
| Common Stock | Â | Â | Â | Â | Â | Â | 146,418 | I | Lise Spiegel Wilks ⁽¹⁾ |
| Common Stock | Â | Â | Â | Â | Â | Â | 2,747 | I | Lise Wilks Jeffrey Wilks Trustees under Jerry Spiegel Trust FBO Lise Spiegel Wilks ⁽¹⁾ |
| Common Stock | Â | Â | Â | Â | Â | Â | 10,173 | I | Lise and Jeffrey Wilks Family Foundation |
| Common Stock | Â | Â | Â | Â | Â | Â | 74,026 | I | Jeffrey Wilks as Trustee FBO Andrew Jay Wilks |
| Common Stock | Â | Â | Â | Â | Â | Â | 12,187 | I | Wife |
| Common Stock | Â | Â | Â | Â | Â | Â | 10,058 | I | Jeffrey Wilks as Trustee FBO Lise Spiegel |
| Common Stock | Â | Â | Â | Â | Â | Â | 10,173 | I | Lise Wilks as Trustee FBO David Wilks |
| Common Stock | Â | Â | Â | Â | Â | Â | 10,173 | I | Jeffrey Wilks as Trustee FBO Jack Philip Wilks |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price of Derivative Security (Instr. 5) | 9. of D S |
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|--|--|-----------|
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|--|--|-----------|

Derivative
Security

Securities
Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

(Instr. 3 and 4)

(A) (D) Date Expiration Title Amount
Exercisable Date or
Number
of
Shares

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| WILKS JEFFREY S 1455 VALLEY ROAD WAYNE, NJ 07470 | X | A | A | A |

Signatures

/s/ JEFFREY S.
WILKS

01/11/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Holding Nature changed to indicate shares are in fact in a trust.

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