

DALEY CHARLES J JR
Form 5
May 01, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL
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☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol			6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Daley, Jr. Charles J.			Legg Mason, Inc. (LM)			☐ Director ☐ 10% Owner ☒ Officer (give title below) Other (specify below)			
						Senior Vice-President and Treasurer			
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)		4. Statement for Month/Year	7. Individual or Joint/Group Reporting (check applicable line)			
100 Light Street					03/31/2003	☒ Form Filed by One Reporting Person ☐ Form Filed by More than One Reporting Person			
(Street)					5. If Amendment, Date of Original (Month/Year)				
Baltimore, MD 21202									
(City)	(State)	(Zip)	Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/31/03 (1)		J (1)	Amount (A) or Disposed of (D)	Price (1)	2,887.194	D		

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

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(Over)
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(9-02)

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FORM 5 (continued)		Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deriva- tive Sec- urity	3. Trans- -action Date (Month/ Day/ Year)	3A. Deem- -ed Ex- ecution Date, if any (Month/ Day/ Year)	4. Trans- -action Code (Instr.8)	5. Number of Deriva- tive Securities Ac- quired (A) or Dis- posed of (D) (Instr. 3, 4 and 5)		6. Date Exercis- -able and Expi- -ration Date (Month/Day/ Year)		7. Title and Amount of Underly- -ing Securities (Instr. 3 and 4)		8. Price of De- riva- tive Secu- rity (Instr. 5)	9. Number of De- rivative Securi- ties Ben- eficially Owned at End of Year (Instr. 4)	10. Owner- -ship of Deriva- tive Sec- urity: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Benefi- cial Owner- -ship (Instr. 4)
					(A)	(D)	Date Exer- -cisable	Expira- -tion Date	Title	Amount or Number of Shares				
Stock Options (Right to Buy)	\$21.78							07/23/04				3,000	D	
Stock Options (Right to Buy)	\$30.74							07/22/06				8,000	D	
Stock Options (Right to Buy)	\$35.81							07/22/07				8,000	D	
Stock Options (Right to Buy)	\$39.46							07/22/10				13,500	D	
Stock Options (Right to Buy)	\$49.03							07/22/09				12,000	D	
Stock Options (Right to Buy)	\$52.90							07/22/08				10,000	D	

Explanation of Responses:

Acquisition of Common Stock pursuant to Legg Mason, Inc. Employee Stock Purchase

Plan from April 2002 to September 2002 at prices ranging from \$42.4617 to \$53.6300.

** Intentional misstatements or
omissions of facts constitute Federal
Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C.
78ff(a).

/s/ Thomas C. Merchant*
**Signature of Reporting Person

05/01/2003
Date

*Attorney-in-Fact

Note: File three copies of this Form, one of which
must be manually signed.

If space is insufficient, see Instruction 6 for
procedure.

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