### Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS F Form 4													
November 05											PPROVAL		
FORM	4 UNITED	STATES S						NGE	COMMISSION	ОМВ	3235-0287		
Check this	s box		Was	hingto	<b>)n,</b> ]	D.C. 20	549			Number:	January 31,		
if no long subject to Section 16 Form 4 or	5. 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									Expires: 2009 Estimated average burden hours per response 0.9		
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(		blic Uti	lity H	oldi	ing Com	ipany	Act o	ge Act of 1934, of 1935 or Sectio 40	'n			
(Print or Type R	esponses)												
1. Name and Ad SIMMONS	ddress of Reporting HAROLD C	S	2. Issuer ymbol / ALHI			Ficker or '	Tradir	ıg	5. Relationship of Issuer	f Reporting Per	son(s) to		
(Last)	(First) (I		Date of						(Chec	ck all applicable)			
. ,	REEWAY, SUIT	(1	Month/Da 1/05/20	ay/Year		ilsaettoli			_X_ Director _X_ Officer (give below) Chairn	e title $\_X\_10^{\circ}$ below) man of the Boa	er (specify		
	(Street)		. If Amen iled(Mont			e Original			6. Individual or Jo Applicable Line) _X_ Form filed by ( Form filed by )	-	erson		
DALLAS, T	X 75240								Person		cporting		
(City)	(State)	(Zip)	Table	I - Nor	n-De	erivative S	Secur	ities Ac	quired, Disposed of	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transa Code (Instr.		4. Securi nAcquired Disposed (Instr. 3,	l (A) c l of (E	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common				Code	V	Amount		Price	(Instr. 3 and 4)				
stock, \$0.01 par value per share	11/05/2012			Р		4,000	A	\$ 12	1,677,663	D			
Common stock, \$0.01 par value per share									818,514	I	By spouse $(1)$		
Common stock, \$0.01 par value per share									314,033,148	I	by VHC (2)		

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Common stock, \$0.01 par value per share	6,367,017	Ι	by TFMC (3)
Common stock, \$0.01 par value per share	1,100,541	Ι	by CDCT (4)
Common stock, \$0.01 par value per share	77,745	I	by Contran

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board				

# Signatures

A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons

#### 11/05/2012

<u>\*\*</u>Signature of Reporting Person

### Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
- (2) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

#### **Remarks:**

Exhibit Index:

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.