Phillips Larry Wayne Form 4 March 05, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Phillips Larry Wayne

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

EMC INSURANCE GROUP INC

(Check all applicable)

[EMCI]

(Last) (First) (Middle)

(State)

3. Date of Earliest Transaction

Director 10% Owner X_ Officer (give title Other (specify below)

(Month/Day/Year)

03/01/2019

Sr. VP-Business Development

EMPLOYERS MUTUAL CASUALTY COMPANY, 717 MULBERRY STREET

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

> > (Zip)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

DES MOINES, IA 50309

(City)

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative Securities Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common				\$			

Common 03/01/2019 \mathbf{C} 1,126 6,193 D Stock Common 5,432 03/01/2019 F 761 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4) S (I	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	03/01/2019		C		563	03/01/2018	(3)	Common Stock	563 \$
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	03/01/2019		C		563	03/01/2019	<u>(4)</u>	Common Stock	563 \$
Restricted Stock Units	(1) (2)	03/01/2019		A	2,252		03/01/2020	(5)	Common Stock	2,252

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Phillips Larry Wayne EMPLOYERS MUTUAL CASUALTY COMPANY 717 MULBERRY STREET DES MOINES, IA 50309

Sr. VP-Business Development

Signatures

Larry W. 03/05/2019 Phillips

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) FMV on conversion date was \$31.8250
- (3) On March 1, 2017, the reporting person was granted 2,252 restricted stock units, vesting at 25% per year beginning on the first anniversary of the grant date.

Reporting Owners 2

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- On March 1, 2018, the reporting person was granted 2252 restricted stock units vesting at 25% per year beginning on the first anniversary of the grant date.
- On March 1, 2019, the reporting person was granted 2,252 restricted stock units, vesting at 25% per year beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.