Paine Ronald Allan Form 4 February 06, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Paine Ronald Allan Issuer Symbol EMC INSURANCE GROUP INC (Check all applicable) [EMCI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 10577 ELMCREST DRIVE 02/02/2018 Senior Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **CLIVE, IA 50325** Person (City) (State) (Zip)

(City) (c	/ше) (Др)	Table I - N	Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D)				5. Amount of Securities	6. Ownership	7. Nature of Indirect
		any (Month/Day/Year)	Code (Instr. 3, 4 and 5) Year) (Instr. 8)				Beneficially Owned	Form: Direct (D)	Beneficial Ownership
		(	(,				Following Reported	or Indirect (I)	(Instr. 4)
					(A) or		Transaction(s)	(Instr. 4)	
EMCL C			Code V	Amount		Price	(Instr. 3 and 4)		
EMCI-Common Stock	02/02/2018		M	2,000	A	\$ 16.27	21,576	D	
EMCI-Common Stock	02/05/2018		M	2,500	A	\$ 16.27	24,076	D	
EMCI-Common Stock							572	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number omf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisals Expiration Date (Month/Day/Year	7. Title and Amo Underlying Secur (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of Sha
NQO-RIGHT TO BUY	\$ 16.27	02/02/2018		M	2,000	03/01/2012(1)	03/01/2021	Common Stock	2.
NQO-RIGHT TO BUY	\$ 16.27	02/05/2018		M	2,500	03/01/2012(1)	03/01/2021	Common Stock	2.

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Paine Ronald Allan

10577 ELMCREST DRIVE Senior Vice President

**CLIVE, IA 50325** 

# **Signatures**

Ronald A. Paine 02/06/2018

\*\*Signature of Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in five equal annual installments (20%) beginning one year after date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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