Edgar Filing: EMC INSURANCE GROUP INC - Form 4/A

EMC INSURANCE GROUP INC Form 4/A January 28, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HALLENBECK RONNIE D Issuer Symbol EMC INSURANCE GROUP INC (Check all applicable) [EMCI] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner Officer (give title ____X___ Other (specify (Month/Day/Year) below) below) 5880 BRENTWOOD CIRCLE 03/28/2013 PRES., EMC RE, AN AFFILIATE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person 05/15/2013 Form filed by More than One Reporting JOHNSTON, IA 50131 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature 6. (Instr. 3) (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership of Indirect any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Ownership (Month/Day/Year) Owned Direct (D) (Instr. 8) Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **EMCI-COMMON** 05/16/2013 Μ 2.400A \$18.865 17,316 D STOCK **EMCI-COMMON** 05/16/2013 F 1,944 D D 15,372 27.0472 STOCK **EMCI-COMMON** 05/16/2013 900 Μ A \$ 20.675 16,272 D STOCK **EMCI-COMMON** 05/16/2013 F 767 D 15,505 D STOCK 27.0472

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: EMC INSURANCE GROUP INC - Form 4/A

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nur of Sha
NQO RIGHT TO BUY	\$ 18.865	05/16/2013		М	2,400	03/03/2010(1)	03/03/2019(1)	COMMON STOCK	2,4
NQO RIGHT TO BUY	\$ 20.675	05/16/2013		М	900	03/01/2011 <u>(1)</u>	03/01/2020(1)	COMMON STOCK	9

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HALLENBECK RONNIE D 5880 BRENTWOOD CIRCLE JOHNSTON, IA 50131				PRES., EMC RE, AN AFFILIATE		
Signatures						
RONNIE D. HALLENBECK	01/27/	2014				

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) OPTIONS VEST IN FIVE EQUAL ANNUAL INSTALLMENTS BEGINNING ONE YEAR AFTER DATE OF GRANT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.