

EATON VANCE CORP  
 Form 5  
 December 06, 2006

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**CABOT JOHN G L**  
 (Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol  
**EATON VANCE CORP [EV]**  
 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**10/31/2006**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)

**THE EATON VANCE BUILDING, 255 STATE STREET**  
 (Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting  
 (check applicable line)

**BOSTON, MA 021092617**  
 (City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)           | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| Eaton Vance Corp. Non-voting Common Stock |                                      |  |                                |   |            |       | 373,320  | D  |   |
| Eaton Vance Corp. Non-voting              |                                      |  |                                |   |            |       | 64,000   | I  | By Family Partnership (1)                             |

Common  
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Option (right to buy)                      | \$ 13.56   | Â                                    | Â  | Â                              | Â Â Â (2)   | 12/15/2010   | Eaton Vance Corp. Non-voting Common Stock                     | 1,844                         |
| Option (right to buy)                      | \$ 17.83   | Â                                    | Â  | Â                              | Â Â Â (2)   | 12/21/2011   | Eaton Vance Corp. Non-voting Common Stock                     | 12,000                        |
| Option (right to buy)                      | \$ 15.05   | Â                                    | Â  | Â                              | Â Â Â (2)   | 12/20/2012   | Eaton Vance Corp. Non-voting Common Stock                     | 12,000                        |
| Option (right to buy)                      | \$ 18.14   | Â                                    | Â  | Â                              | Â Â Â (2)   | 12/19/2013   | Eaton Vance Corp. Non-voting Common Stock                     | 12,000                        |
| Option                                     | \$ 25.39   | Â                                    | Â  | Â                              | Â Â Â (2)   | 12/17/2014   | Eaton   | 12,000                        |

|                       |          |   |   |   |   |   |   |       |                                     |   |       |
|-----------------------|----------|---|---|---|---|---|---|-------|-------------------------------------|---|-------|
| (right to buy)        |          |   |   |   |   |   |   |       | Vance Corp. Non-voting Common Stock |   |       |
| Option (right to buy) | \$ 27.58 | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | 12/16/2015                          | Eaton Vance Corp. Non-voting Common Stock | 8,200 |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| CABOT JOHN G L<br>THE EATON VANCE BUILDING<br>255 STATE STREET<br>BOSTON, MA 021092617 | ^ X           | ^         | ^       | ^     |

## Signatures

By: Katie McManus, Attorney in Fact  
Date: 12/05/2006

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by a family partnership of which the reporting person is a general partner and has sole voting power.
- (2) These options vest over a 4 year period at 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.