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RICHARDSON DUNCAN W

Form 3

January 26, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * RICHARDSON DUNCAN W

(Last) (First)

(Middle)

Statement

(Month/Day/Year)

01/11/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

EATON VANCE CORP [EV]

(Check all applicable)

Executive Vice President

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

THE EATON VANCE BUILDING, Â 255 STATE STREET

(Street)

Director _X__ Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

BOSTON. MAÂ 021092617

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Eaton Vance Corp. Non-voting Common

Stock

364,110

D

Â

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy) (1)	(2)	11/02/2006	Eaton Vance Corp. Non-voting Common Stock	100,000	\$ 5.73	D	Â
Option (right to buy) (1)	(3)	11/01/2009	Eaton Vance Corp. Non-voting Common Stock	72,000	\$ 8.59	D	Â
Option (right to buy) (1)	(4)	11/01/2010	Eaton Vance Corp. Non-voting Common Stock	200,000	\$ 12.27	D	Â
Option (right to buy) (1)	(5)	11/01/2011	Eaton Vance Corp. Non-voting Common Stock	250,000	\$ 14.34	D	Â
Option (right to buy) (1)	(6)	11/01/2012	Eaton Vance Corp. Non-voting Common Stock	282,600	\$ 14.55	D	Â
Option (right to buy) (1)	(7)	11/03/2013	Eaton Vance Corp. Non-voting Common Stock	273,000	\$ 17.51	D	Â
Option (right to buy) (1)	(8)	11/01/2014	Eaton Vance Corp. Non-voting Common Stock	259,600	\$ 21.96	D	Â

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Eaton Vance

Non-voting Common Stock

Corp.

Option (right to buy) (1) \hat{A} (9)

11/01/2015

210,500 \$ 24

\$ 24.87

Â

D

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Other

RICHARDSON DUNCAN W THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MAÂ 021092617

Â Executive Vice President Â

Signatures

By: Katie McManus, Attorney in Fact

01/26/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the 1998 Stock Option Plan, which is a 16b-3 plan.
- (2) Granted on November 2, 1998, these options vest over a 4 year period at 25% per year.
- (3) Granted on November 1, 1999, these options vest over a 5 year period at 20% per year.
- (4) Granted on November 1, 2000, these options vest over a 5 year period at 20% per year.
- (5) Granted on November 1, 2001, these options vest over a 5 year period at 20% per year.
- (6) Granted on November 1, 2002, these options vest over a 5 year period at 20% per year.
- (7) Granted on November 3, 2003, these options vest over a 5 year period at 20% per year.
- (8) Granted on November 1, 2004 these options vest over a 5 year period at 20% per year.
- (9) Granted on November 1, 2005 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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