## Edgar Filing: DYNNER ALAN R - Form 5

DYNNER AL Form 5 December 14,										
FORM	5							-	PROVAL	
	-	TATES SECURI			NG	E CO	MMISSION	OMB Number:	3235-0362	
Check this b no longer su		Wash	nington, D.C	C. 20549				Expires:	January 31, 2005	
to Section 16 Form 4 or Fo 5 obligations may continu <i>See</i> Instruction 1(b).	5. orm ANNU s e. on	ant to Section 16	<b>SHIP OF SI</b> (a) of the Se	Estimated average burden hours per response 1.0						
Reported Form 4 Transactions Reported		30(h) of the Inv	• •	-	-			1		
1. Name and Add DYNNER AI	dress of Reporting Pe LAN R	Symbol	ame <b>and</b> Ticke		-		Relationship of suer			
(Last) (First) (Middle) 3. Statement fo (Month/Day/Ye 10/31/2005 THE EATON VANCE BUILDING, 255 STATE STREET				for Issuer's Fiscal Year Ended /Year) Director 5 Officer (give below)					k all applicable) title 10% Owner title Other (specify below) ting Trustee	
BUILDING,F	(Street)		dment, Date On n/Day/Year)	riginal		6.	Individual or Jo (check	int/Group Repo	-	
BOSTON, N	MAÂ 021092617						(_ Form Filed by ( _ Form Filed by M rson			
(City)	(State) (Z	<sup>ip)</sup> Table	I - Non-Deriva	ative Secu	rities	Acquir	ed, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		<ul> <li>(D) Beneficially</li> <li>(D) Owned at end of Issuer's</li> <li>Fiscal Year</li> <li>(Instr. 3 and 4)</li> </ul>		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Eaton Vance Corp. Common	Â	Â	Â	Amount Â	(D) Â		37,116	I	By Voting	
Stock (Voting)									Trust (1)	
Eaton Vance Corp. Non-voting Common	Â	Â	Â	Â	Â	Â	375,422	D	Â	

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Stock

Voting Trust								
Voting Trust Receipt $\frac{(2)}{2}$	Â	Â	Â	Â	ÂÂ	37,116	D	Â
Receipt $\frac{(2)}{2}$							_	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Num of Deriv Secur Acqu (A) o Dispo of (D (Instr 4, and	vative rities hired or osed )) :. 3,	6. Date Exerc Expiration Da (Month/Day/	ate	7. Title and Am Underlying Sec (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 15.77	Â	Â	Â	Â	Â	( <u>3)</u>	11/01/2006	Eaton Vance Corp. Non-voting Common Stock	6,974
Option (right to buy)	\$ 5.73	Â	Â	Â	Â	Â	(4)	11/02/2006	Eaton Vance Corp. Non-voting Common Stock	80,000
OPtion (right to buy)	\$ 16.01	Â	Â	Â	Â	Â	(5)	11/01/2007	Eaton Vance Corp. Non-voting Common Stock	6,872
Option (right to buy)	\$ 8.59	Â	Â	Â	Â	Â	( <u>6)</u>	11/01/2009	Eaton Vance Corp. Non-voting Common Stock	60,000

(9-02)

Option (right to buy)	\$ 12.27	Â	Â	Â	Â	Â	(7)	11/01/2010	Eaton Vance Corp. Non-voting Common Stock	51,848
Option (right to buy)	\$ 14.34	Â	Â	Â	Â	Â	( <u>8)</u>	11/01/2011	Eaton Vance Corp. Non-voting Common Stock	62,426
Option (right to buy)	\$ 14.55	Â	Â	Â	Â	Â	( <u>9)</u>	11/01/2012	Eaton Vance Corp. Non-voting Common Stock	71,528
Option (right to buy)	\$ 17.51	Â	Â	Â	Â	Â	(10)	11/03/2013	Eaton Vance Corp. Non-voting Common Stock	80,600
Option (right to buy)	\$ 21.96	Â	Â	Â	Â	Â	(11)	11/01/2014	Eaton Vance Corp. Non-voting Common Stock	68,400

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
DYNNER ALAN R THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617	Â	Â	Voting Trustee	Â				
Signatures								
By: Katie McManus, Attorney in Fact	12/							
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- All shares of the Voting Stock of Eaton Vance Corp. are deposited and held of record in a Voting Trust of which Mssrs. James B. Hawkes, Thomas E. Faust, Jr., Alan R. Dynner, William M. Steul, Wharton P. Whitaker, Thomas J. Fetter, Duncan W. Richardson,
- Jeffrey P. Beale, Scott H. Page, Payson F. Swaffield and Michael W. Weilheimer are the voting Trustees. The Voting Common Stock is not registered under Section 12 of the Securities Exchange Act.
- (2) A Voting Trust Receipt represents a share of Voting Common Stock.
- (3) Granted on 11/1/2001 these options vest 100% on 11/1/2006
- (4) Granted on November 2, 1998, these options vest over 5 years starting in 1999 in increments of 14%, 14%, 14%, 36%, and 22% respectively.
- (5) Granted on 11/1/2002 these option vest 100% on 11/1/2006
- (6) Granted on November 1, 1999, these options vest over a 5 year period at 20% per year.
- (7) Granted on 11/1/2000 these option vest 23% on 11/1/2001, 11/1/2002, 11/1/2003, 11/1/2005 and 8% on 11/1/2004
- (8) Granted on 11/1/2001 these options vest 22% 11/1/2002, 11/1/2003, 11/1/2004 11/1/2006 and 12% on 11/1/2005
- (9) Granted on 11/1/2002 these options vest 22% on 11/1/2003, 11/1/2004, 11/2/2005, 11/1/2007 and 12% on 11/1/2006
- (10) Granted on November 3, 2003, these options vest over a 5 year period at 20% per year.
- (11) Granted on November 1, 2004 these options vest over a 5 year period at 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.