

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

October 11, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Peltz, Nelson
 Triarc Companies, Inc.
 280 Park Avenue, 41st Floor
 New York, NY 10017
 USA
2. Issuer Name and Ticker or Trading Symbol
 Triarc Companies, Inc.
 TRY
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 October 10, 2002
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director (X) 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Chairman and Chief Executive Officer
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month A/D Price |
|----------------------|---------------------|---------|---|---|
| Class A Common Stock | 10/10/02 | P(1) | 75 | (A) \$22.16 |
| Class A Common Stock | 10/10/02 | G(1) | 75 | (D) |
| | | | | 23,750 (2) (3) |
| | | | | 15,982,867 (2) (5) |
| | | | | 26,900 (2) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion | 3. Transaction | 4. | 5. Number of Derivative Securities | 6. Date Exercisable and | 7. Title and Amount of Underlying | 8. Percentage of |
|---------------------------------|---------------|----------------|----|------------------------------------|-------------------------|-----------------------------------|------------------|
|---------------------------------|---------------|----------------|----|------------------------------------|-------------------------|-----------------------------------|------------------|

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| For Exercise | Price of | Derivative | Security | Acquired | Expiration Date | Securities | vat |
|------------------------|------------------|------------|----------|----------|-----------------|----------------------------|--------|
| (A) or Disposed of (D) | (Month/Day/Year) | (Date) | (Date) | (Date) | (Date) | Title and Number of Shares | (Date) |
| | | | | | | | |

Explanation of Responses:

(1) Mr. Peltz together with Peter W. May, the President and Chief Operating Officer of the Issuer, acquired the shares in an open market purchase for the purpose of making a bona fide gift to an unrelated person.

(2) Represents number of shares beneficially owned as of October 10, 2002.

(3) All such shares are held in the Peltz Family Limited Partnership, an entity in which Mr. Peltz is a General Partner.

(4) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

(5) All such shares are owned by DWG Acquisition Group, L.P., an entity in which Mr. Peltz is a General Partner.

SIGNATURE OF REPORTING PERSON

Nelson Peltz

DATE

October 10, 2002