

Edgar Filing: TRIARC COMPANIES INC - Form 5

TRIARC COMPANIES INC

Form 5

February 13, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported

( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Tsai, Jr., Gerald  
Tsai Management, Inc.  
200 Park Avenue  
Suite 4501  
New York,, NY 10166  
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.  
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 31, 2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
(specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2.	3.	4. Securities Acquired (A)	5. Amount of
	Transaction	or Disposed of (D)	Securities	
	Date	Code	Beneficially	
			Owned at	
		Amount	A/	
			D	
			Price	
			End of Year	
Class A Common Stock	1/3/00	J(1)	414	(A)   \$18.10 (2)
Class A Common Stock	1/20/00	J(1)	80	(A)   \$18.63 (2)
	0			
Class A Common Stock	3/16/00	J(1)	77	(A)   \$19.32 (2)
	0			
Class A Common Stock	3/31/00	J(1)	74	(A)   \$20.17 (2)
	0			
Class A Common Stock	4/3/00	J(1)	469	(A)   \$19.17 (2)
Class A Common Stock	5/2/00	J(1)	75	(A)   \$19.88 (2)

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Class A Common Stock	5/12/0 J(1) 73	(A)  \$20.42 (2)	
	0		
Class A Common Stock	5/25/0 J(1) 70	(A)  \$21.38 (2)	
	0		
Class A Common Stock	6/21/0 J(1) 71	(A)  \$20.92 (2)	
	0		
Class A Common Stock	7/3/00 J(1) 366	(A)  \$20.47 (2)	
Class A Common Stock	9/14/0 J(1) 65	(A)  \$22.97 (2)	
	0		
Class A Common Stock	9/15/0 J(1) 65	(A)  \$23.02 (2)	
	0		
Class A Common Stock	10/2/0 J(1) 311	(A)  \$24.11 (2)	16,530
	0		

Table II -- Derivative Securites Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Con- version or Exer- cise Pr- ice of Deriva- tive Secu- rity	3. Tran- saction Date	4. Code	5. Number of Deri- vative Secu- rities Acqui- red(A) or Dis- posed of(D)	6. Date Exer- cisable and Expir- ation Date	7. Title and Amount of Underlying Securities	8. P- of of Sec- rit
Stock Options (right to buy) with tandem tax withholding rights.	\$19.75	6/22/00	(A)	4,000	A (3) 6/22/10	Class A Comm on Stock	4,000

Explanation of Responses:

(1) Shares were issued pursuant to the Company's 1998 Equity Participation Plan in lieu of annual retainer or Board of Directors or committee meeting attendance fees that would otherwise be payable in cash.

(2) In accordance with the Company's 1998 Equity Participation Plan, the price is the average of the closing price per share on the 20 consecutive trading days immediately preceding the date on which the annual retainer or meeting attendance fee would otherwise be payable.

(3) The option vests in two equal installments on June 22, 2001 and 2002.

SIGNATURE OF REPORTING PERSON

Gerald Tsai, Jr.

DATE

February 13, 2001