GLAXOSMITHKLINE PLC Form 6-K February 19, 2019

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 18 February 2019

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

GlaxoSmithKline plc (the 'Company') Shares sold to meet tax liabilities

Following the vesting of awards granted in 2016 under the GlaxoSmithKline 2009 Performance Share Plan on 14 February 2019 and as notified on 15 February 2019, the notifications that follow reflect changes in the interests of Persons Discharging Managerial Responsibilities ('PDMRs') in Ordinary Shares and in American Depositary Shares ('ADSs') of the Company arising from the sale of Ordinary Shares or ADSs to meet tax liabilities.

#### Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms E Walmsley

Chief Executive Officer b) Position/status

Initial notification/

Initial notification

amendment

Details of the issuer, emission allowance market participant, auction 2. platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

> Ordinary shares of 25 pence each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in

2016 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £15.8462 66,159

Aggregated information n/a (single transaction)

d)

b) Nature of the transaction

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

Mr R G Connor a) Name

b) Position/status President, Global Vaccines

Initial notification/ c)

Initial notification amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

> The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £15.8462 38,633

Aggregated information

b) Nature of the transaction

d) n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr J Ford

b) Position/status **SVP & General Counsel** 

Initial notification/ Initial notification

amendment

Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

5493000HZTVUYLO1D793 b) LEI

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument GlaxoSmithKline plc

American Depositary Shares

('ADSs')

ISIN: US37733W1053

The sale of ADSs to meet tax liabilities for the vesting of awards granted in 2016 under the Company's 2009

Performance Share Plan.

c) Price(s) and volume(s) Price(s) Volume(s) \$41.1716 2,311

Aggregated information

b) Nature of the transaction

d) n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction

New York Stock Exchange

f) Frace of the transaction (XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr N Hirons

b) Position/status SVP, Global Ethics &

Compliance

Initial notification/
Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence

a) Description of the financial instrument each ('Ordinary Shares')

ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's

b) Nature of the transaction 2009 Performance Share

Plan.

c) Price(s) and volume(s)
Price(s) Volume(s)
£15.8462 15,937
d) Aggregated information n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr B McNamara

b) Position/status CEO, Consumer Healthcare

Initial notification/

Initial notification

c) amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv)

each place where transaction(s) has been conducted

GlaxoSmithKline plc

American Depositary Shares

a) Description of the financial instrument ('ADSs')

ISIN: US37733W1053

The sale of ADSs to meet tax

liabilities further to the vesting of awards granted in 2016 under the Company's

b) Nature of the transaction 2009 Performance Share

Plan.

c) Price(s) and volume(s)

Price(s) Volume(s)

\$41.1716 16.675

Aggregated information n/a (single transaction)

d)

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction New York Stock Exchange

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr D S Redfern

b) Position/status Chief Strategy Officer

c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s) Price(s) Volume(s) £15.8462 28,009

Aggregated information n/a (single transaction)

Aggregated volume Price

d)

b) Nature of the transaction

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr R Simard

b) Position/status President, Pharma Supply

Chain

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument Ordinary Shares of 25 pence

each ('Ordinary Shares')
ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in b) Nature of the transaction

2016 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £15.8462 3,873

Aggregated information

d)

n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2019-02-15

London Stock Exchange Place of the transaction

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms C Thomas

b) Position/status SVP, Human Resources

Initial notification/ Initial notification

amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

> The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's

b) Nature of the transaction 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £15.8462 35,253 d) Aggregated information n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

Mr P C Thomson a) Name

President, Global Affairs b) Position/status

Initial notification/

Initial notification

amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv)

each place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument

ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's 2009 Performance Share

Plan.

Price(s) Volume(s) c) Price(s) and volume(s) £15.8462 20,283

Aggregated information

n/a (single transaction)

d)

Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

Mrs V A Whyte a) Name

b) Position/status Company Secretary

Initial notification/

amendment

Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type

3. of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument

ISIN: GB0009252882

The sale of Ordinary Shares to meet tax liabilities for the vesting of awards granted in 2016 under the Company's 2009 Performance Share

Plan.

c) Price(s) and volume(s) Price(s) Volume(s) £15.8462 3,873

Aggregated information

n/a (single transaction)

Aggregated volume Price

b) Nature of the transaction

e) Date of the transaction 2019-02-15

Place of the transaction London Stock Exchange

(XLON)

#### **SIGNATURES**

d)

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: February 18, 2019

By: VICTORIA WHYTE

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Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc