

MARTIN CHRISTOPHER P

Form 4

August 01, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MARTIN CHRISTOPHER P

2. Issuer Name **and** Ticker or Trading
Symbol
PROVIDENT FINANCIAL
SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

239 WASHINGTON STREET

(Street)

JERSEY CITY, NJ 07302

(City)

(State)

(Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
07/31/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/31/2018		M	(A) or (D) Amount 5,000 (1)	\$ 14.88	330,262	D
Common Stock	07/31/2018		M	(A) or (D) Amount 8,245 (2)	\$ 15.23	338,507	D
Common Stock	07/31/2018		M	(A) or (D) Amount 20,570 (3)	\$ 14.5	359,077	D
Common Stock					147,031 (4)	I	By 401(k) Plan
Common Stock					15,480 (4)	I	By ESOP

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Common Stock	17,785	I	By Deferred Fee Plan
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options	\$ 14.88	07/31/2018		M	5,000	02/03/2013 02/03/2022	Common Stock 5,000
Stock Options	\$ 15.23	07/31/2018		M	8,245	02/19/2014 02/19/2023	Common Stock 8,245
Stock Options	\$ 14.5	07/31/2018		M	20,570	02/24/2012 02/24/2021	Common Stock 20,570
Stock Options	\$ 25.58					03/05/2019 03/05/2028	Common Stock 43,124
Stock Options	\$ 26.31					03/07/2018 03/07/2027	Common Stock 42,857
Stock Options	\$ 18.34					02/19/2016 02/19/2025	Common Stock 65,972
Stock Options	\$ 16.38					02/19/2015 02/19/2024	Common Stock 35,000
Stock Options	\$ 16.38					03/04/2016 02/19/2024	Common Stock 45,762
Stock Options	\$ 18.7					02/24/2017 02/24/2026	Common Stock 76,327
Stock Options	\$ 14.5					02/24/2011 02/24/2021	Common Stock 14,623
Stock	\$ 15.23					03/04/2015 02/19/2023	Common 25,126

Options				Stock	
Stock	\$ 14.88	03/04/2015	02/03/2022	Common	22,542
Options				Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	X		Chairman, President and CEO	

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney	08/01/2018
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__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects exercise of options that expire on February 3, 2022.
- (2) Reflects exercise of options that expire on February 19, 2023.
- (3) Reflects exercise of options that expire on February 24, 2021.
- (4) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 33.3% per year.
- (7) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.