Edgar Filing: Conway Thomas E - Form 4

| Conway Thor | mas E | | | | | | | | | | |
|---|----------------|---|---|----------------------------|-------------|------------|--|--|-------------------|-------------------------|--|
| Form 4 | | | | | | | | | | | |
| May 15, 2018 | 3 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | PPROVAL | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 | | | | | | COMMISSION | OMB Number: | 3235-0287 | | | |
| Check this box if no longer CTLA TERMENTE OF CHANCES IN DEPUTE | | | | | | | | Expires: | January 31, | | |
| subject to | STAT | EMENT O | F CHAN | | | CIAI | LOW | NERSHIP OF | Estimated a | 2005 average | |
| Section 16 | | SECURITIES | | | | | | burden hours per | | | |
| Form 4 or Form 5 | | announce to | Section 14 | f(a) = f(b) | Saguriti | ac Er | ahana | hat af 1024 | response 0.5 | | |
| obligation | | | | | | | | ge Act of 1934, f 1935 or Sectio | n | | |
| may conti | nue. | | of the Inv | • | • | • • | | | 11 | | |
| See Instru 1(b). | ction | 50(II) | | vestment | company | 1100 | 01 17 | 10 | | | |
| -(-). | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| Conway Thomas E Symbol | | | | | | | | 155001 | | | |
| Amber F | | | | Road, Inc. [AMBR] | | | | (Chec | ck all applicable | e) | |
| (Last) | (First) | rst) (Middle) 3. Date of Earliest Transaction | | | | | | | | | |
| | | | /Day/Year) | | | | Director 10% Owner X Officer (give title Other (specify | | | | |
| | ANDS PLAZ | | 05/11/20 | 118 | | | | below) | below) | | |
| WILADO WL | | | | | | | | Chief | Financial Offic | er | |
| | (Street) | | 4. If Amer | ndment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| Filed(Mont | | | | h/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| EAST DUTL | HERFORD, N | 107073 | | | | | | | More than One Re | | |
| LASI KUII | IERFORD, N | J 07075 | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of | 2. Transaction | Date 2A. Dee | emed | 3. | 4. Securi | ties | | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Y | | on Date, if | Transactio | | | | Securities | Form: Direct | Indirect | |
| (Instr. 3) | | any (Month) | CodeDisposed of (D)h/Day/Year)(Instr. 8)(Instr. 3, 4 and 5) | | | | | Beneficially Owned | | Beneficial Ownership | |
| | | (World | (Day) I cal) | (Instr. 0) | (11301. 5, | - and | 5) | Following | (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) | | Reported | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | |
| G | | | | Code V | Amount | (D) | Price | (Instr. 5 and 1) | | | |
| Common | 05/11/2018 | | | M(1) | 4,843 | А | \$0 | 9,686 | D | | |
| Stock | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration E (Month/Day | Date | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Pr Deriv Secu (Inst |
|---|---|---|---|---------------------------------------|--|--|--------------------|---|--|---------------------------------|
| | | | | Code V | ′ (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (2) | 05/11/2018 | | М | 4,843 | (3) | (3) | Common Stock | 4,843 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Conway Thomas E C/O AMBER ROAD, INC. ONE MEADOWLANDS PLAZA EAST RUTHERFORD, NJ 07073 | | | Chief Financial Officer | | | |
| Signatures | | | | | | |

| 3 | |
|----------------|-----------|
| /s/ Thomas E. | |
| Conway | 05/15/201 |
| **Signature of | Date |

Reporting Person

Date

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Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the conversion upon vesting of restricted stock units ("RSUs") into common stock. On February 10, 2016, the reporting (1)person was granted 77,487 RSUs, of which 1/16th vested on May 11, 2018, at which time tax withholding obligations were due.
- (2) Each RSU represents a contingent right to receive one share of common stock of the registrant.
- On February 10, 2016, the reporting person was granted 77,487 RSUs, of which 1/16th vested on May 11, 2018. The remaining unvested (3) RSUs will continue to vest as to 1/16th of the original number of shares subject to the RSUs on each succeeding quarter until fully vested on February 10, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.