TCP Capital Corp. Form 4 September 28, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Davis Paul L

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

1. Title of

Security

(Instr. 3)

(Middle)

2. Transaction Date 2A. Deemed

TCP Capital Corp. [TCPC]

(Check all applicable)

TENNENBAUM CAPITAL PARTNERS, LLC, 2951 28TH

STREET, STE 1000

3. Date of Earliest Transaction

(Month/Day/Year) 09/28/2015

Director 10% Owner Other (specify X\_ Officer (give title

below) CFO of Issuer and Advisor

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SANTA MONICA, CA 90405

(City) (State) (Zip)

(Street)

3. 4. Securities Acquired (A) 5. Amount of (Month/Day/Year) Execution Date, if Transactionr Disposed of (D)

(Instr. 3, 4 and 5)

Securities Beneficially Owned

6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership

(Month/Day/Year) (Instr. 8)

Code

(A)

or Indirect Following Reported (I) Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Price Code V Amount (D)

Common P 09/28/2015 1,000 14.2399 Stock

D 8,500

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: TCP Capital Corp. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	Amount Underly Securiti	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Davis Paul L TENNENBAUM CAPITAL PARTNERS, LLC 2951 28TH STREET, STE 1000 SANTA MONICA, CA 90405

CFO of Issuer and Advisor

## **Signatures**

Paul L Davis 09/28/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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