

Springer Jackie D. Jr.
Form 4
November 08, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Springer Jackie D. Jr.

2. Issuer Name and Ticker or Trading Symbol
Malibu Boats, Inc. [MBUU]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

5075 KIMBERLY WAY

11/06/2018

Chief Executive Officer

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LOUDON, TN 37774

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Class A Common Stock | 11/06/2018 | | F(1) | 1,993 D | \$ 42.39 | 87,976 (2) | D |
| Class A Common Stock | 11/07/2018 | | F(3) | 1,334 D | \$ 42 | 86,642 (2) | D |
| Class A Common Stock | 11/07/2018 | | F(4) | 2,224 D | \$ 42 | 84,418 (2) | D |
| Class A Common | 11/07/2018 | | F(5) | 1,083 D | \$ 42 | 83,335 (2) | D |

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Springer Jackie D. Jr. 5075 KIMBERLY WAY LOUDON, TN 37774 | X | | Chief Executive Officer | |

Signatures

Jackie D. Springer Jr., /s/ Wayne Wilson as attorney-in-fact
Date: 11/08/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 5,063 shares under a restricted stock award granted on November 4, 2016.
- As of the filing date hereof, includes 10,124 shares of restricted stock vesting in two equal annual installments beginning November 4, 2019, 5,651 shares of restricted stock vesting on November 6, 2019, 8,250 shares of restricted stock vesting in three equal annual installments beginning on November 6, 2019, and 12,000 shares of restricted stock vesting in four equal annual installments beginning November 6, 2019.
- (2)

Edgar Filing: Springer Jackie D. Jr. - Form 4

- (3) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 3,390 shares under a restricted stock award granted on November 6, 2015.
- (4) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 5,650 shares under a restricted stock award granted on November 6, 2015.
- (5) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 2,750 shares under a restricted stock award granted on November 6, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.