Berrozpe Esther F Form 3 April 18, 2013

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WHIRLPOOL CORP /DE/ [WHR] A Berrozpe Esther F (Month/Day/Year) 04/16/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2000 M-63N (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) BENTON Form filed by More than One PRESIDENT, EMEA HARBOR, MIÂ 49022 Reporting Person (State) (City) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,557.147 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	02/19/2017	Common	625	\$ 94.47	D	Â
Employee Stock Option (Right to Buy)	(3)	02/19/2018	Common	213	\$ 88.49	D	Â
Employee Stock Option (Right to Buy)	(4)	02/14/2021	Common	1,917	\$ 85.45	D	Â
Employee Stock Option (Right to Buy)	(5)	02/20/2022	Common	2,679	\$ 71.03	D	Â
Employee Stock Option (Right to Buy)	(6)	02/18/2023	Common	2,316	\$ 111.33	D	Â
Restricted Stock Units	(7)	(7)	Common	310	\$ <u>(7)</u>	D	Â
Restricted Stock Units	$\hat{A} = \frac{(8)}{2}$	(8)	Common	10,000	\$ <u>(8)</u>	D	Â
Restricted Stock Units	(9)	(9)	Common	10,000	\$ <u>(9)</u>	D	Â
Restricted Stock Units	$\hat{A} = \frac{(10)}{100}$	(10)	Common	484	\$ (10)	D	Â
Restricted Stock Units	(11)	(11)	Common	713	\$ <u>(11)</u>	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<u>.</u> 5	Director	10% Owner	Officer	Other		
Berrozpe Esther F			Â			
2000 M-63N	Â	Â	PRESIDENT,	Â		
BENTON HARBOR, MI 49022			EMEA			

#### **Signatures**

/s/ Bridget K. Quinn, Attorney-in-Fact

04/18/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of 03/15/2013, the latest date for which information is reasonably available, there are 31.147 shares held in the account of the undersigned pursuant to the broker-administered dividend reinvestment plan.
- (2) The option became exercisable in three substantially equal annual installments beginning on February 19, 2008.
- (3) The option became exercisable in three substantially equal annual installments beginning on February 19, 2009.
- (4) The option became exercisable in three substantially equal annual installments beginning on February 14, 2012.
- (5) The option becomes exercisable in three substantially equal annual installments, beginning on February 20, 2013.
- (6) The option becomes exercisable in three substantially equal annual installments, beginning on February 18, 2014.

**(7)** 

Reporting Owners 2

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Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 14, 2014.

- (8) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: 50% on March 15, 2014 and 50% on March 15, 2016.
- (9) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: 50% on February 20, 2015 and 50% on February 20, 2017.
- (10) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: one-third on February 20, 2014 and one-third on February 20, 2015.
- (11) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: one-third on February 18, 2014; one-third on February 18, 2015; and one-third on February 18, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.