Edgar Filing: Engle Robb William - Form 4

Engle Robb	William										
Form 4 March 29, 20	019										
FORM										PPROVAL	
	UNITED	STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th if no long	ter							Expires:	January 31, 2005		
subject to Section 1 Form 4 o	subject to Section 16. SECURITIES Form 4 or								Estimated average burden hours per response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
Engle Robb William Syn			2. Issuer Name and Ticker or Trading Symbol SONO TEK CORP [SOTK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N	/liddle)	3. Date of	f Earliest Tr	ansaction			(Cnec	k all applicable	e)	
(Month/D 164 LUCAS AVENUE 03/28/20				Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below) Vice President			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)		(Zip)				~ .		Person			
		-					-	uired, Disposed of 5. Amount of		•	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
SonoTek Corp Common Stock	03/28/2019			М	33,333 (1)	А	\$ 0.91	71,620	D		
SonoTek Corp Common Stock	03/28/2019			F	12,133 (2)	D	\$ 2.5	59,487	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Num orDerivat Securiti Acquire or Disp (D) (Instr. 3 and 5)	tive ies ed (A) oosed of	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and 4	ecurities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SonoTek Corp Options	\$ 0.91	03/28/2019		М	3	3,333 (1)	03/15/2019	07/20/2026	SonoTek Corp Common Stock	33,333

Reporting Owners

Reporting Owner Name / Address	Relationships							
I O	Director	10% Owner	Officer	Other				
Engle Robb William 164 LUCAS AVENUE KINGSTON, NY 12401			Vice President					

Signatures

Robb William	
Engle	03/29/2019
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person exercised 33,333 vested options.

(2) Reporting person surrendered 12,133 shares of SonoTek Corp common stock as payment for the exercise of options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.