Edgar Filing: Bhatt Elizabeth - Form 4

| Bhatt Eliza Form 4 | beth | | | | | | | | | | |
|--|---|----------------------------|--|--|---|---|---|---|---|--------|--|
| September | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | PPROVAL | | |
| | | | | | | | | Number: | 3235-0287 | | |
| if no lo subject | to STATEN | MENT O | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | January 31, 2005 average | | |
| Section 16.SECURITIESburden hours per responseForm 4 orForm 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,0.5FoligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Section0.5See Instruction30(h) of the Investment Company Act of 19401940 | | | | | | | | | | | |
| (Print or Type | e Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Bhatt Elizabeth | | | 2. Issuer Name and Ticker or Trading Symbol Achaogen Inc [AKAO] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (| Middle) | | of Earliest T | _ | | (Chec | k all applicabl | le) | | |
| C/O ACH PLACE #3 | (Month/Day/Year) 09/11/2018 | | | | Director 10% Owner X Officer (give title Other (specify below) below) below) Chief Operating Officer | | | | | | |
| SOUTH S FRANCIS | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) | (Zip) | | | | ~ | | | | | |
| | | - | | | | | cquired, Disposed of | | • | | |
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | 3. Transactic Code (Instr. 8) Code V | Disposed (Instr. 3, | (A) or of (D) | SecuritiesFBeneficially(1)Owned(1) | Downership Form: Direct D) or Indirect I) Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Re | eport on a separate line | e for each cl | ass of sec | urities bene | ficially ow | ned directly o | or indirectly. | | | | |
| | | | | | inforr requi | nation cont red to respo ays a currer | pond to the collec ained in this form ond unless the form ntly valid OMB con | are not n | SEC 1474 (9-02) | | |
| | Tab | | | | | sposed of, or convertible s | Beneficially Owned securities) | | | | |
| | | saction Date /Day/Year) | | | 4. Transact | 5. Number iorDerivative | | | 7. Title and Amount of Underlying Securities | 8 I | |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code th/Day/Year) (Instr. 8) | | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | (Month/Day/Year) | | (Instr. 3 and 4) | |
|--|---|--------------|-------------------------|---------------------------------|---------|--|-----|---------------------|--------------------|------------------|-------------------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 4.79 | 09/11/2018 | | А | | 65,000 | | <u>(1)</u> | 09/11/2028 | Common Stock | 65,000 |
| Reporting Owners | | | | | | | | | | | |
| Reporting Owner Name / Address | | | Relationships | | | | | | | | |
| Reporting Owner Name / Address | | Director 10% | Owner | C | Officer | | | Other | | | |
| Bhatt Elizabeth C/O ACHAOGEN, INC. 1 TOWER PLACE #300 SOUTH SAN FRANCISCO, CA 94080 | | | 0 | Chief Operating Officer | | | | | | | |
| Signa | tures | | | | | | | | | | |
| /s/ Gary Loeb, Attorney-in-fact for Elizab Bhatt | | | zabeth | h 09/13/2018 | | | | | | | |
| **Signature of Reporting Person | | | | Date | | | | | | | |
| E venla | nation a | f Deene | | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Shares subject to the option vest in two successive annual installments, with 40% of the Shares subject to the option vesting on
 (1) September 11, 2019 and 60% of the Shares subject to the option vesting on September 11, 2020, subject to the reporting person's continued employment or service relationship with the Issuer on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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