Haddock Jason Form 4 December 19, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number: Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Haddock Jason

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

ARRAY BIOPHARMA INC

[ARRY]

3. Date of Earliest Transaction

(Month/Day/Year) 12/16/2017

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner Officer (give title Other (specify

below) below) **CFO** 

C/O ARRAY BIOPHARMA, 3200 **WALNUT ST** 

(State)

(First)

(Street) 4. If Amendment, Date Original

(Middle)

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

BOULDER, CO 80301

			1401	CI MON D	CIIVative	occui	rics ricq	equired, Disposed of, of Denemenary Owned				
1.Title	of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Securit	y	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect		
(Instr.	3)		any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial			
			(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership		
			•					Following	(Instr. 4)	(Instr. 4)		
								Reported				
						(A)		Transaction(s)				
						or		(Instr. 3 and 4)				
				Code V	Amount	(D)	Price	, , , , , , , , , , , , , , , , , , , ,				
Comr	non	10/1//0017		M	4,387	٨	Φ.Λ	24.207	D			
Stock		12/16/2017		M	(1)	A	\$ 0	24,387	D			
500011	•				_							
Comr	non	10/1//0017		_	2,044	Ъ	\$	00.040	Ъ			
Stock		12/16/2017		F	(2)	D	10.92	22,343	D			
Stock					_		10.72					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Haddock Jason - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Restricted Stock Units	\$ 0	12/16/2017		M		4,387	(3)	12/16/2020	Common Stock	4,1
Restricted Stock Units	\$ 0	12/16/2017		A	28,000		<u>(5)</u>	12/17/2021	Common Stock	28,
Stock Option (Right to Buy)	\$ 10.92	12/16/2017		A	168,000		<u>(6)</u>	12/16/2027	Common Stock	168

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Haddock Jason						
C/O ARRAY BIOPHARMA, 3200 WALNUT ST			CFO			
BOLL DER CO 80301						

## **Signatures**

Jason Haddock 12/19/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued upon settlement of Restricted Stock Units ("RSUs") granted by the Issuer on December 27, 2016 as a result of the vesting of one fourth of such RSUs.
- (2) Represents shares withheld to satisfy tax withholding obligations of the reporting person.
- (3) The RSUs vested on December 16, 2017.
- Consists of remaining unvested RSUs awarded to the reporting person for no additional cash consideration on December 16, 2016, which (4) vest in three equal remaining installments beginning December 16, 2018, and represent a contingent right to receive one share of Array
- (4) vest in three equal remaining installments beginning December 16, 2018, and represent a contingent right to receive one share of Array BioPharma Inc. common stock. The grant of these RSUs were previously reported on a Table II of a form 4 filed by the reporting person.
- (5) Consists of RSUs awarded to the reporting person for no additional cash consideration each of which represent a contingent right to receive one share of Array BioPharma Inc. common stock. The RSUs vest and will be settled in stock in four equal annual installments

Reporting Owners 2

#### Edgar Filing: Haddock Jason - Form 4

beginning on December 16, 2018.

(6) The option vests in four equal annual installments beginning on December 16, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.