

PITNEY BOWES INC /DE/
Form 3
January 04, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Christoph Stehmann</p> <p>(Last) (First) (Middle)</p> <p>3001 SUMMER STREET</p> <p>(Street)</p> <p>STAMFORD,Â CTÂ 06926</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/01/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>PITNEY BOWES INC /DE/ [PBI]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Exec VP, Enterprise Sol Group</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 2,271.7232 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable Date | Title | Amount or Number of | | |

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| | | | | Shares | | (I) (Instr. 5) | |
|-------------------------|---------------------------|------------|--------------|--------|----------|-------------------|---|
| Restricted Stock Units | Â <u>(1)</u> | 02/12/2022 | Common Stock | 330 | \$ 0 | D | Â |
| Restricted Stock Units | Â <u>(1)</u> | 02/10/2023 | Common Stock | 600 | \$ 0 | D | Â |
| Restricted Stock Units | Â <u>(2)</u> | 02/09/2024 | Common Stock | 1,287 | \$ 0 | D | Â |
| Restricted Stock Units | Â <u>(2)</u> | 02/08/2025 | Common Stock | 3,843 | \$ 0 | D | Â |
| Performance Stock Units | Â <u>(3)</u> | 02/09/2024 | Common Stock | 4,495 | \$ 0 | D | Â |
| Performance Stock Units | Â <u>(3)</u> | 02/08/2025 | Common Stock | 9,544 | \$ 0 | D | Â |
| Stock Option | 02/13/2007 ⁽⁴⁾ | 02/12/2016 | Common Stock | 1,837 | \$ 42.62 | D | Â |
| Stock Option | 02/04/2014 ⁽⁴⁾ | 02/10/2023 | Common Stock | 1,885 | \$ 48.03 | D | Â |
| Stock Option | 02/11/2009 ⁽⁴⁾ | 02/10/2018 | Common Stock | 3,637 | \$ 36.96 | D | Â |
| Stock Option | 02/09/2010 ⁽⁵⁾ | 02/09/2019 | Common Stock | 4,374 | \$ 24.75 | D | Â |
| Stock Option | 02/08/2011 ⁽⁵⁾ | 02/07/2020 | Common Stock | 3,573 | \$ 22.09 | D | Â |
| Stock Option | 02/14/2012 ⁽⁵⁾ | 02/13/2021 | Common Stock | 3,486 | \$ 26.07 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------------------------------|
| | Director | 10% Owner | Officer | Other |
| Christoph Stehmann 3001 SUMMER STREET STAMFORD, CT 06926 | Â | Â | Â | Exec VP, Enterprise Sol Group |

Signatures

/s/ Laurie Bellocchio - POA for Christoph Stehmann

01/04/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units expire upon vesting and vest in four equal annual installments.
- (2) The restricted stock units expire upon vesting and vest in three annual installments.
- (3) Each performance based restricted stock unit will vest subject to predetermined EBIT performance metrics on February 13, 2018 and expire upon vesting date.
- (4) The stock option grant vests in four equal annual installments.
- (5) The stock option grant vests in three equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.