Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

COLUMBUS MCK Form 4 May 19, 2015	INNON CORP							
FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	UNITED STATES STATEMENT OI Filed pursuant to S ection 17(a) of the I 30(h)	Washington F CHANGES IN SECUI Section 16(a) of th	, D.C. 205 BENEFIC RITIES ne Securitie Iding Comp	49 CIAI es Ex pany	OWN change Act of	ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated ar burden hour response	•
(Print or Type Response	es)							
1. Name and Address o Wozniak Kurt F	f Reporting Person <u>*</u>	2. Issuer Name and Symbol COLUMBUS M [CMCO]		-) [5. Relationship of I Issuer (Check	Reporting Perso	
(Last) (Fir 140 JOHN JAMES PARKWAY	, , , ,	3. Date of Earliest T (Month/Day/Year) 05/18/2015	ransaction			Director XOfficer (give t below) VP		Owner r (specify
(Str AMHERST, NY 14	, 	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
(City) (Sta		Table I - Non-J	Derivative S	ecurit		Person ired, Disposed of,	or Beneficiall	v Owned
	action Date 2A. Deem /Day/Year) Execution any (Month/Da	ed 3. Date, if Transactio Code		s Acqu l of (D	uired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock		Code	Tinount	(D)	Thee	17,392.135	D	
Common 05/18/	2015	А	10.7562	A	\$ 24.94	17,402.8912 (2)	D	
Common Stock						1,609.0553 (<u>3)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	3,335
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011	05/18/2018	Common Stock	356
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,777
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	1,809
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	6,788
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	7,464

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP - Americas			

Signatures

Kurt F. Wozniak

05/19/2015

<u>Signature</u> of	
Reporting Person	

1

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.

Includes 11,002.8912 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 241.8329 shares become fully vested and non-forfeitable on 5/23/2015, 1,117.4694 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 2,432.4355 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015,

- (2) beginning 5/21/2015, 2,452.4555 shares become fully vested and non-forfeitable 55.55% per year for four years beginning 5/19/2015, 2,316 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 1,931 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.