Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

| COLUMBU Form 4 May 19, 201 | JS MCKINNON (| CORP | - | | | | | | | | |
|--|---|-------------|--|---|--|-----------|----------------|--|--|---|--|
| FORM | | | | | | | OMB AF | PROVAL | | | |
| - | | | AND EX(1, D.C. 205 | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | | | |
| Check th if no lon subject t Section Form 4 d | ger STATEN 16. | IENT O | NGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | Expires: January 20 Estimated average burden hours per | | | |
| Form 5 obligation may con See Instr 1(b). | Filed pur ons stinue. Section 17(ruction | a) of the l | to Section 16(a) of the Securities Exchange Act of 1934, the Public Utility Holding Company Act of 1935 or Section (h) of the Investment Company Act of 1940 | | | | | | response | 0.5 | |
| (1 mill of 1)pe | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Celi Ivo | | | 2. Issuer Name and Ticker or Trading Symbol | | | | D | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | COLUMBUS MCKINNON CORP [CMCO] | | | | | (Check all applicable) | | | |
| | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/18/2015 | | | | | Director 10% Owner Officer (give title Other (specify below) below) below) Vice President - EMEA | | | |
| | | | | If Amendment, Date Original ed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| AMHERST, NY 14228 | | | | | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative S | Securit | ties Acqu | iired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transactio Code (Instr. 8) Code V | 4. Securitie ordr Dispose (Instr. 3, 4 Amount | d of (Ê |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | | | | | | | | 31,048.1698 | D | | |
| Common Stock | 05/18/2015 | | | А | 16.5374 (1) | А | \$ 24.94 | 31,064.7072 (2) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|---|---|--|---|---------------------|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Non-Qualified Stock Options (Right to Buy) | \$ 14.8 | | | | | 01/25/2013 | 01/24/2020 | Common Stock | 10,000 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 3,513 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | | | | | 05/23/2012 | 05/22/2021 | Common Stock | 6,389 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43 | | | | | 05/21/2013 | 05/20/2022 | Common Stock | 11,238 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95 | | | | | 05/20/2014 | 05/20/2023 | Common Stock | 9,085 |
| Non-Qualified Stock Options (Right to Buy) | \$ 27.12 | | | | | 05/19/2015 | 05/19/2024 | Common Stock | 8,706 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-----------------------|-------|--|--|
| Reporting Owner Maine / Address | Director | 10% Owner | Officer | Other | | |
| Celi Ivo 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | | | Vice President - EMEA | | | |

Signatures

Ivo Celi

F

05/19/2015

| <u>**</u> Signature of | |
|------------------------|--|
| Reporting Person | |

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.

Includes 18,828.7072 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 852.4608 shares become fully vested and non-forfeitable on 5/23/2015; 2,823.3986 units become fully vested and non-forfeitable 50% per year for two

- (2) years beginning 5/21/2015, 3,255.675 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; 3,457.1728 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015; 5,856 shares become fully vested and non-forfeitable on 5/21/2015; and the remaining 2,584 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Exercisable 50% per year for two years beginning 1/25/2014, if reporting person remains an employee of issuer.
- (4) All exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.