### Edgar Filing: CORTEX PHARMACEUTICALS INC/DE/ - Form 4

#### CORTEX PHARMACEUTICALS INC/DE/

Form 4

January 23, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* samyang optics co., ltd

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

CORTEX PHARMACEUTICALS INC/DE/ [corx]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

01/21/2013

Director Officer (give title

\_X\_\_ 10% Owner \_\_ Other (specify

15FLOOR, KT BLDG, 422, TEHERANRO, GANGNAMGU

> (Street) 4. If Amendment, Date Original

> > Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SEOUL, M5 135-839

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Se	curiti	es Acqu	ired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities 2 corr Disposed of (Instr. 3, 4 and	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common stock	01/21/2013		P	6,765,466 (1)	A	\$ 0.04	16,422,464	D (2)	
common stock	01/21/2013		S	6,765,466 (1)	D	\$ 0.04	0	I	By subsidiary (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	ction	5. Number of InSecurities Acq Disposed of (I (Instr. 3, 4, and	quired (A) or D)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Underlying (Instr. 3 an
	Security			Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Warrant to Purchase Common Stock	\$ 0.056			Code		(11)	( <i>D</i> )	06/25/2012	06/25/2014	commor stock
Warrant to Purchase Common Stock	\$ 0.1035	01/21/2013		P		1,691,367		10/20/2011	10/20/2013	commor stock
Warrant to Purchase Common Stock	\$ 0.1035	01/21/2013		S			1,691,367	10/20/2011	10/20/2013	commor stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
samyang optics co., ltd 15FLOOR, KT BLDG, 422, TEHERANRO GANGNAMGU SEOUL, M5 135-839		X				
Samyang Value Partners Co., Ltd. 311 TEHERANRO, GANGNAMGU SEOUL, M5 135-513				a subsidiary of 10% Owner		

## **Signatures**

Signatures		
/s/ Dong Hoon Kim	01/23/2013	
**Signature of Reporting Person	Date	
/s/ Seung Chan Kim	01/23/2013	
	Date	

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\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Samyang Optics Co., Ltd. acquired 6,765,466 shares of common stock and a warrant to purchase up to 1,691,367 shares of common stock from Samyang Value Partners Co., Ltd., a wholly owned subsidiary of Samyang Optics Co., Ltd. by Securities Transfer Agreement
- (2) Securities held by Samyang Optics Co., Ltd., a ten percent owner of the issuer
- (3) Securities held by Samyang Value Partners Co., Ltd., a wholly owned subsidiary of Samyang Optics Co., Ltd.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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