

Brown Owsley III  
Form 4  
January 14, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Brown Owsley III

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
07/11/2012

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_X\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Class A Common	12/26/2012		G	V 14,416 D \$ 0	0 <sup>(1)</sup>	D	
Class A Common					36,746 <sup>(2)</sup>	I	GRAT
Class A Common					18 <sup>(3)</sup>	I	Eleanor Lee Trusts
Class A Common					316,837 <sup>(4)</sup>	I	Hebe Three Limited Partnership
Class A Common					13,063 <sup>(5)</sup>	I	Woodford Partners

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Class A Common						49,257 <sup>(6)</sup>	I	California, LLC Longview Charitable LP
Class A Common						2,745 <sup>(7)</sup>	I	Trust fbo child
Class A Common						2,500 <sup>(8)</sup>	I	Trust fbo Victoire Brown
Class A Common						9,970 <sup>(9)</sup>	I	Trust fbo child
Class A Common						10,162 <sup>(10)</sup>	I	Trust fbo child
Class A Common						20,439 <sup>(11)</sup>	I	Trust fbo Owsley Brown III
Class A Common						3,330,115 <sup>(12)</sup>	I	Olympus Three, LLC
Class B Common	07/11/2012	W	V 12	A	\$ 0	68,532 <sup>(13)</sup>	D	
Class B Common	12/26/2012	G	V 68,532	D	\$ 0	0	D	
Class B Common						562 <sup>(14)</sup>	I	c/f child
Class B Common						562 <sup>(15)</sup>	I	c/f child
Class B Common						1,980 <sup>(16)</sup>	I	Owsley Brown II IRA
Class B Common						39,447 <sup>(17)</sup>	I	CLB Grandchildren Eq. Tr
Class B Common						12,895 <sup>(18)</sup>	I	Eleanor Lee Trusts
Class B Common						546 <sup>(19)</sup>	I	Hebe Exempt Trust
Class B Common						215,284 <sup>(20)</sup>	I	Hebe Three Limited Partnership
Class B Common	08/20/2012	W	V <u>192,223</u> <sup>(21)</sup>	D	\$ 0	0	I	Hebe Non-Exempt Trust
	08/20/2012	W	V 64,075	A	\$ 0	64,075	I	

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Class B Common									Hebe Tr fbo Owsley Brown III
Class B Common	12/19/2012		G V	43,470	D	\$ 0	20,605	I	Hebe Trust fbo Owsley Brown III
Class B Common	12/26/2012		G V	20,604	D	\$ 0	0	I	Hebe Trust fbo Owsley Brown III
Class B Common							19,200 <sup>(22)</sup>	I	Longview Charitable LP
Class B Common							117 <sup>(23)</sup>	I	Trust fbo child
Class B Common							355 <sup>(24)</sup>	I	Trust fbo Victoire Brown
Class B Common							1,924 <sup>(25)</sup>	I	Trust fbo child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

Reporting Owner Name / Address Relationships

# Edgar Filing: Brown Owsley III - Form 4

Director 10% Owner Officer Other

Brown Owsley III  
850 DIXIE HIGHWAY  
LOUISVILLE, KY 40210

X

## Signatures

Diane M. Barhorst, Atty. in Fact for Owsley  
Brown III

01/14/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 10, 2012, the Class A and Class B common stock of Brown-Forman Corporation split 3-2, resulting in the reporting person's acquisition of 4,805 additional shares of Class A common stock.
- (2) Includes additional 12,582 shares of Class A common stock issued in the August 2012 stock split.
- (3) Includes additional 6 shares of Class A common stock issued in the August 2012 stock split.
- (4) Includes additional 105,612 shares of Class A common stock issued in the August 2012 stock split.
- (5) Includes additional 4,354 shares of Class A common stock issued in the August 2012 stock split.
- (6) Includes additional 16,419 shares of Class A common stock issued in the August 2012 stock split.
- (7) Includes additional 915 shares of Class A common stock issued in the August 2012 stock split.
- (8) Includes additional 833 shares of Class A common stock issued in the August 2012 stock split.
- (9) Includes additional 3,323 shares of Class A common stock issued in the August 2012 stock split.
- (10) Includes additional 3,387 shares of Class A common stock issued in the August 2012 stock split.
- (11) Includes additional 6,813 shares of Class A common stock issued in the August 2012 stock split.
- (12) Includes additional 1,110,038 shares of Class A common stock issued in the August 2012 stock split.
- (13) Includes additional 22,840 shares of Class Bcommon stock issued in the August 2012 stock split.
- (14) Includes additional 187 shares of Class Bcommon stock issued in the August 2012 stock split.
- (15) Includes additional 187 shares of Class Bcommon stock issued in the August 2012 stock split.
- (16) Includes additional 660 shares of Class Bcommon stock issued in the August 2012 stock split.
- (17) Includes additional 13,149 shares of Class Bcommon stock issued in the August 2012 stock split.
- (18) Includes additional 4,298 shares of Class Bcommon stock issued in the August 2012 stock split.
- (19) Includes additional 182 shares of Class Bcommon stock issued in the August 2012 stock split.
- (20) Includes additional 71,761 shares of Class Bcommon stock issued in the August 2012 stock split.
- (21) Includes additional 64,074 shares of Class Bcommon stock issued in the August 2012 stock split.
- (22) Includes additional 6,400 shares of Class Bcommon stock issued in the August 2012 stock split.
- (23) Includes additional 39 shares of Class Bcommon stock issued in the August 2012 stock split.
- (24) Includes additional 118 shares of Class Bcommon stock issued in the August 2012 stock split.
- (25) Includes additional 641 shares of Class Bcommon stock issued in the August 2012 stock split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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