Barzun Brooke Brown Form 4 January 14, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Barzun Brooke Brown Symbol

5. Relationship of Reporting Person(s) to

Issuer

BROWN FORMAN CORP [BFA, BFB]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Street)

(Month/Day/Year)

Director \_X\_\_ 10% Owner Officer (give title \_\_ Other (specify

850 DIXIE HWY

07/11/2012

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

Person

below)

LOUISVILLE, KY 40210

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common			Code V	Amount	(D)	Price	236,106 (2)	D	
Class A Common							2,500 (3)	Ι	Spouse
Class A Common							14,154 (4)	I	GRAT
Class A Common	01/10/2013		<u>J(1)</u>	50,371	D	\$ 63.49	203,474 (5)	I	BBB Revocable Trust
Class A Common	01/10/2013		J <u>(1)</u>	31,501	A	\$ 63.49	31,501	I	BBB 2011 GRAT

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Class A Common	01/10/2013	J <u>(1)</u>	9,813	A	\$ 63.49	9,813	I	BBB 2010 GRAT
Class A Common	01/10/2013	J <u>(1)</u>	9,057	A	\$ 63.49	9,057	I	BBB 2002 GRAT
Class A Common						101,320 (6)	I	Hackberry Manor LP
Class A Common						79,545 <u>(7)</u>	I	Hackberry II LP
Class A Common						60,273 (8)	I	Hackberry 2007 LP
Class A Common						150,000 (9)	I	Hackberry 2010 LLC
Class A Common						18 (10)	I	Eleanor Lee Trusts
Class B Common						316,837 (11)	I	Hebe Three Limited Partnership
Class A Common						13,063 (12)	I	Woodford Partners Kentucky, LLC
Class A Common						49,257 (13)	I	Longview Charitable LP
Class A Common						67,714 (14)	I	Trust fbo Owsley Brown III
Class A Common						4,704 (15)	I	Trust fbo child
Class A Common						8,518 (16)	I	Trust fbo child
Class A Common						8,518 (16)	I	Trust fbo child
Class A Common						1,470 (17)	I	Trust fbo children
Class A Common						740,610 (18)	I	Guilford-Brown LP
Class A Common						20,439 (19)	I	Trust fbo Brooke Barzun
Class A Common						3,330,115 (20)	I	Olympus Three LLC
Class B Common	12/24/2012	G	V 97,007	D	\$ 0	27,832 (21)	D	

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Class B Common							355 (22)	I	Spouse
Class B Common	07/11/2012	W	V	V 12	A	\$ 0	49,779 (23)	I	BBB Revocable Trust
Class B Common	12/24/2012	G	V	V 49,779	D	\$ 0	0	I	BBB Revocable Trust
Class B Common							25,329 (24)	I	Hackberry Manor LP
Class B Common							19,885 (25)	I	Hackberry II LP
Class B Common							4,942 (26)	I	Hackberry 2007 LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						]
					(A) or						]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11110	of		
				Code V	(A) (D)				Shares		
				Code v	(A) $(D)$				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Transfer and an area are	Director	10% Owner	Officer	Other			
Barzun Brooke Brown							
850 DIXIE HWY		X					
LOUISVILLE, KY 40210							

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## **Signatures**

Diane M. Barhorst, Atty in Fact for Brooke Brown Barzun

01/14/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On January 10, 2013, the reporting person transferred shares from a revocable trust to three GRATs in which she has a pecuniary interest in exchange for cash. The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth on this form except to the extent of her pecuniary interest therein.
- On August 10, 2012, the Class A and Class B common stock of Brown-Forman Corporation split 3-2, resulting in the reporting person's acquisition of 78,702 additional shares of Class A common stock.
- (3) Includes additional 833 Class A shares issued in the August 2012 stock split.
- (4) Includes additional 4,718 Class A shares issued in the August 2012 stock split.
- (5) Includes additional 84,615 Class A shares issued in the August 2012 stock split.
- (6) Includes additional 33,773 Class A shares issued in the August 2012 stock split.
- (7) Includes additional 26,515 Class A shares issued in the August 2012 stock split.
- (8) Includes additional 20,091 Class A shares issued in the August 2012 stock split.
- (9) Includes additional 50,000 Class A shares issued in the August 2012 stock split.
- (10) Includes additional 6 Class A shares issued in the August 2012 stock split.
- (11) Includes additional 105,612 Class A shares issued in the August 2012 stock split.
- (12) Includes additional 4,354 Class A shares issued in the August 2012 stock split.
- (13) Includes additional 16,419 Class A shares issued in the August 2012 stock split.
- (14) Includes additional 22,571 Class A shares issued in the August 2012 stock split.
- (15) Includes additional 1,568 Class A shares issued in the August 2012 stock split.
- (16) Includes additional 2,839 Class A shares issued in the August 2012 stock split.
- (17) Includes additional 490 Class A shares issued in the August 2012 stock split.
- (18) Includes additional 246,870 Class A shares issued in the August 2012 stock split.
- (19) Includes additional 6,813 Class A shares issued in the August 2012 stock split.
- (20) Includes additional 1,110,038 Class A shares issued in the August 2012 stock split.
- (21) Includes additional 41,613 Class B shares issued in the August 2012 stock split.
- (22) Includes additional 118 Class B shares issued in the August 2012 stock split.
- (23) Includes additional 16,589 Class B shares issued in the August 2012 stock split.
- (24) Includes additional 8,443 Class B shares issued in the August 2012 stock split.
- (25) Includes additional 6,628 Class B shares issued in the August 2012 stock split.
- (26) Includes additional 1,647 Class B shares issued in the August 2012 stock split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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