

PLANTRONICS INC /CA/

Form 4

February 13, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KANNAPPAN S KENNETH

(Last) (First) (Middle)

345 ENCINAL STREET

(Street)

SANTA CRUZ, CA 95060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol**PLANTRONICS INC /CA/ [PLT]**3. Date of Earliest Transaction
(Month/Day/Year)**02/09/2017**4. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)**EXECUTIVE VICE CHAIRMAN**6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.**SEC 1474
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		800		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		500		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		1,090		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		700		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		100		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		1,080		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		300		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		200		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	
Non-Qualified Stock Option (right to buy)	\$ 36.67	02/09/2017	M		700		05/06/2012 ⁽¹⁾	05/06/2018	COMMON STOCK	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KANNAPPAN S KENNETH 345 ENCINAL STREET SANTA CRUZ, CA 95060			EXECUTIVE VICE CHAIRMAN	

Signatures

S KENNETH
KANNAPPAN

02/13/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option was granted by the Issuer pursuant to a Rule 16-b plan and becomes exercisable as follows: 33.3% of the shares subject to the option vest 12 months from date of grant; and 1/36th of the shares subject to option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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