

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-A

July 28, 2017

PAGE 1
000 A000000 05/31/2017
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000 D000000 N
000 E000000 NF
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000 I000000 6.1
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001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
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008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
008 C000002 801-68723
008 D010002 Saint Louis
008 D020002 MO
008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
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013 A000001 DELOITTE & TOUCHE LLP
013 B010001 CHICAGO
PAGE 2
013 B020001 IL
013 B030001 60606
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015 A000001 THE BANK OF NEW YORK MELLON
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015 C010001 NEW YORK
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020 A000003 JPMORGAN CHASE & CO
020 B000003 13-3224016
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020 A000004 RAYMOND JAMES & ASSOCIATES INC.
020 B000004 59-1237041
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PAGE 3
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 087 A020000 33733G109
 087 A030000 FGB

PAGE 8

088 A030000 N
 088 B030000 N
 088 C030000 N

088 D030000 N

SIGNATURE DONALD P. SWADE

TITLE TREASURER