

Kuhn Dennis
Form 3
October 05, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Kuhn Dennis | | (Month/Day/Year) | HORIZON BANCORP /IN/ [HBNC] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | | | |
| 5795 WACKER DRIVE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| STEVENSVILLE,Â MIÂ 49127 | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| (City) | (State) | (Zip) | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Executive Vice President | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 2,086 | I | By ESOP |
| Common Stock | 476 | I | By Thrift |
| Common Stock | 1,352 | I | By SERP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|--|--|--|---------------------------|----------------------|--|
|--|--|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|-----------------------|---------------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| Employee Stock Option | 05/07/2011 ⁽¹⁾ | 05/07/2020 | Common Stock | 22,500 | \$ 6.67 | D | Â |
| Employee Stock Option | 06/18/2014 ⁽²⁾ | 06/18/2023 | Common Stock | 3,636 | \$ 13.49 | D | Â |
| Employee Stock Option | 03/18/2015 ⁽³⁾ | 03/18/2024 | Common Stock | 3,205 | \$ 14.8 | D | Â |
| Employee Stock Option | 03/17/2016 ⁽⁴⁾ | 03/17/2025 | Common Stock | 3,733 | \$ 15.89 | D | Â |
| Employee Stock Option | 03/15/2017 ⁽⁵⁾ | 03/15/2026 | Common Stock | 6,786 | \$ 15.57 | D | Â |
| Employee Stock Option | 03/21/2018 ⁽⁶⁾ | 03/21/2027 | Common Stock | 1,493 | \$ 25.14 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kuhn Dennis 5795 WACKER DRIVE STEVENSVILLE, MI 49127 | Â | Â | Â Executive Vice President | Â |

Signatures

/s/Mark E. Secor, Attorney-in-Fact for Dennis J. Kuhn
Date: 10/05/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on 5/07/2010 and vested in five equal installments beginning on the first anniversary on date of grant.
 - (2) The option was granted on 6/18/2013 and vested in three equal installments beginning on the first anniversary on date of grant.
 - (3) The option was granted on 3/18/2014 and vested in three equal installments beginning on the first anniversary of date of grant.
 - (4) The option was granted on 3/17/2015 and vested in three equal installments beginning on the first anniversary on date of grant.
 - (5) The option was granted on 3/15/2016 and vested in three equal installments beginning on the first anniversary on date of grant.
 - (6) The option was granted on 3/21/2017 and vested in three equal installments beginning on the first anniversary on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.