Pacific Green Technologies Inc.

Form 3/A

November 25, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Pacific Green Technologies Inc. [PGTK]  **SHEAD ALEXANDER** (Month/Day/Year) 07/20/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 10/50 BERRY STREET 09/18/2015 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ Director 10% Owner \_X\_ Form filed by One Reporting Officer Other (give title below) (specify below) **NORTH SYDNEY** Form filed by More than One NSW, C3Â 0000 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock Ι 83,700 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	Form of Ownership
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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				Shares		(I) (Instr. 5)	
Stock Option	07/20/2015	07/20/2018	Common Stock	50,000	\$ 0.01	D	Â
Stock Options	05/20/2015	05/20/2017	Common	125,000	\$ 1 <u>(1)</u>	I	Family Trust

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
interpolating of the control of the	Director	10% Owner	Officer	Other		
SHEAD ALEXANDER 10/50 BERRY STREET NORTH SYDNEY NSW, C3 0000	ÂΧ	Â	Â	Â		

## **Signatures**

/s/Alexander
Shead

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The stock options are only to be exercised at a price that is a 25% discount to the average market price of the common shares of the (1) Company during 10 consecuritve business days and the average offer price is not meant to be less than \$1.00 or greater than \$2.50. Additionally, a minimum of 93,750 options must be exercised if at all.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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