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MORRIS BUFORD HARRISON III

Form 4 March 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

obligations

| 1. Name and Address of Reporting Person * MORRIS BUFORD HARRISON III | | | ouer Name and Ticker or Trading ol sFirst Bancshares, Inc. [SFBS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---|-----------------|--|---|--|--|--|
| (Last) | (First) (Mi | | e of Earliest Transaction h/Day/Year) | Director 10% Owner | | | |
| 3207 FOXRIDGE ROAD | | | //2018 | X Officer (give title Other (specify below) EVP, Regional CEO | | | |
| (Street) | | | mendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| DOTHAN, AL 36303 | | | Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) (Z | iip) T . | abla I Nan Darivativa Saguritias Aa | juired, Disposed of, or Beneficially Owned | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. 4. Securities Acquire e, if Transaction(A) or Disposed of Code (D) | 1 5. Amount of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) | | | |
| COMMON STOCK | 02/27/2018 | | D 4,700 D 42.2 | 142,482.384 D | | | |
| COMMON STOCK | | | | Held in spouse's IRA | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercis Expiration Dat (Month/Day/Y | e | 7. Title and Am Underlying Sec (Instr. 3 and 4) | | 8 II S (|
|---|---|--------------------------------------|---|--|--|---|--------------------|---|-------------------------------------|----------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| STOCK OPTION (RIGHT TO BUY) | \$ 15.085 | | | | | 01/20/2020 | 01/20/2025 | COMMON STOCK | 5,000 | |
| STOCK OPTION (RIGHT TO BUY) | \$ 19.155 | | | | | 01/25/2021 | 01/25/2026 | COMMON STOCK | 4,000 | |
| STOCK OPTION (RIGHT TO BUY) | \$ 6.92 | | | | | 02/10/2021 | 02/10/2024 | COMMON STOCK | 12,000 | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|-------------------------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| MORRIS BUFORD HARRISON III 3207 FOXRIDGE ROAD DOTHAN, AL 36303 | | | EVP, Regional CEO | | |
| Signatures | | | | | |

Signatures

/s/ Davis S. Mange,
attorney-in-fact 03/02/2018

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. The shares sold in multiple transactions ranging in price from \$42.00 to \$42.36, inclusive. The reporting person undertakes to provide ServisFirst Bancshares, Inc., any security holder of ServisFirst Bancshares,
- Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.