## Edgar Filing: BRISTOL MYERS SQUIBB CO - Form 4

BRISTOL MYERS SQUIBB Form 4 February 04, 2014	CO					
						PPROVAL
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Estimated a burden hou response	Estimated average burden hours per response 0.5				
(Print or Type Responses)						
1. Name and Address of Reporting Paliwal Dinesh C	Symbol	TOL MYERS SQU	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (1 BRISTOL-MYERS SQUIBE COMPANY, 345 PARK AV	(Month) 3 02/01/	of Earliest Transaction /Day/Year) 2014	X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street) NEW YORK, NY 10154		nendment, Date Origina onth/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City) (State)	(Zip) Ta	ble I - Non-Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)		3. 4. Securit TransactionAcquired Code Disposed (Instr. 8) (Instr. 3, 4) Code V Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Report on a separate line	e for each class of see	curities beneficially ow	ned directly o	or indirectly.		
		Perso inforr requi	ons who res nation cont red to respo ays a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Numb	er	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction Deriva	ative	Expiration Date	Underlying Securities	Deriva

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securitie Acquire (A) or Dispose (D) (Instr. 3, and 5)	d d of	(Month/Day/Year)				Securi (Instr.
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Share Units	Ш	02/01/2014		А	3,192		<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.10 par value	3,192	\$ 50

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Paliwal Dinesh C BRISTOL-MYERS SQUIBB COMPANY 345 PARK AVENUE NEW YORK, NY 10154	Х						
Signatures							
/s/ Robert J. Wollin, attorney-in-fact for Dir Paliwal	02/04/2014						
<u>**</u> Signature of Reporting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each Deferred Share Unit will be converted into a share of common stock upon settlement. The Deferred Share Units become settleable when the reporting person ceases to be a director or at a future date previously specified by the reporting person.
- (2) Includes deferred compensation and dividends reinvested under the 1987 Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.