

MERCANTILE BANK CORP  
Form 4  
November 20, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STONE SAMUEL G

2. Issuer Name and Ticker or Trading Symbol  
MERCANTILE BANK CORP  
[MBWM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/19/2015

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EXECUTIVE VICE PRESIDENT

C/O MERCANTILE BANK CORPORATION, 310 LEONARD STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) |   |  |                                   |
| Common Stock                    | 11/19/2015                           |  | A                              |   | 98  | A          | \$ 0 5,598  | D  |                                   |
| Common Stock                    |                                      |  |                                |   |   |            | 3,745   | I  | by Trust                          |
| Common Stock                    |                                      |  |                                |   |   |            | 30,407.795 <sup>(1)</sup>   | I  | by 401K                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)          | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P Der Der Sec (Ins |                            |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|-----------------------|----------------------------|
|   |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                 | Amount or Number of Shares |
| Employee Stock Option (Common Stock - right to buy) | \$ 22.8662   |                                      |  |                                |   | 06/01/2014   | 11/28/2015  | Common Stock          | 1,378                      |
| Employee Stock Option (Common Stock - right to buy) | \$ 22  |                                      |  |                                |   | 06/01/2014   | 11/27/2016  | Common Stock          | 1,312                      |
| Employee Stock Option (Common Stock - right to buy) | \$ 16  |                                      |  |                                |   | 06/01/2014   | 12/03/2017  | Common Stock          | 4,500                      |
| Employee Stock Option (Common Stock - right to buy) | \$ 7.8   |                                      |  |                                |   | 06/01/2014   | 11/24/2018  | Common Stock          | 4,500                      |

|  |          |            |            |                 |       |
|--|----------|------------|------------|-----------------|-------|
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 22.14 | 11/13/2016 | 11/12/2021 | Common<br>Stock | 1,000 |
|--|----------|------------|------------|-----------------|-------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          | Other |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  |       |
| STONE SAMUEL G<br>C/O MERCANTILE BANK CORPORATION<br>310 LEONARD STREET<br>GRAND RAPIDS, MI 49504 |               |           | EXECUTIVE VICE PRESIDENT |       |

## Signatures

|   |            |
|---|------------|
| /s/ Bradley J. Wyatt,<br>Attorney-in-Fact | 11/20/2015 |
|---|------------|

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares Mr. Stone acquired pursuant to the issuer's 401(k) Plan since his last report of common stock ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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