

Principal Real Estate Income Fund
Form N-PX
August 29, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED

MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-22742

PRINCIPAL REAL ESTATE INCOME FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Andrea E. Kuchli

Principal Real Estate Income Fund

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Name and address of agent for service)

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Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2017 – June 30, 2018

Item 1 – Proxy Voting Record.

| Institutional Account Name | Institutional Account Number | Company Name | Ticker | Country | Provider Security ID | Meeting Type | Meeting Date | Record Date | Prop |
|--|---|------------------------------|---------------|-------------------|---------------------------------|---------------------|-------------------------|------------------------|-------------|
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Special | 04-Jul-17 | 02-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Special | 04-Jul-17 | 02-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Special | 04-Jul-17 | 02-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 | Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 | Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 | Annual | 11-Jul-17 | 07-Jul-17 | Mar |
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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 | Annual | 11-Jul-17 | 07-Jul-17 | Mar |

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| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | NewRiver REIT plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP | United Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP | United Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP | United Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Safestore Holdings plc | SAFE | United Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Safestore Holdings plc | SAFE | United Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Safestore Holdings plc | SAFE | United Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Logistics and Industrial Trust | BUOU | Singapore | Y26465107 | Special | 26-Jul-17 | | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Link Real Estate Investment Trust | 823 | Hong Kong | Y5281M111 | Annual | 26-Jul-17 | 20-Jul-17 | Mar |
| | 9712 | | 823 | Hong Kong | Y5281M111 | Annual | 26-Jul-17 | 20-Jul-17 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Link Real Estate Investment Trust | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Link Real Estate Investment Trust | 823 | Hong Kong | Y5281M111 | Annual | 26-Jul-17 | 20-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Link Real Estate Investment Trust | 823 | Hong Kong | Y5281M111 | Annual | 26-Jul-17 | 20-Jul-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Care Capital Properties, Inc. | CCP | USA | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Care Capital Properties, Inc. | CCP | USA | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Care Capital Properties, Inc. | CCP | USA | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Croesus Retail Trust | S6NU | Singapore | Y1780H109 | Court | 13-Sep-17 | | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Croesus Retail Trust | S6NU | Singapore | Y1780H109 | Court | 13-Sep-17 | | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Prologis | FIBRAPL 14 | Mexico | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Special | 27-Sep-17 | 21-Sep-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Dexus | DXS | Australia | Q3190P134 | Annual | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Dexus | DXS | Australia | Q3190P134 | Annual | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Dexus | DXS | Australia | Q3190P134 | Annual | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate | 9712 | Dexus | DXS | Australia | Q3190P134 | Annual | 24-Oct-17 | 22-Oct-17 | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Propertylink Group | PLG | Australia | Q7773M103 Annual | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Propertylink Group | PLG | Australia | Q7773M103 Annual | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Propertylink Group | PLG | Australia | Q7773M103 Annual | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Propertylink Group | PLG | Australia | Q7773M103 Annual | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Propertylink Group | PLG | Australia | Q7773M103 Annual | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Charter Hall Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Charter Hall Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Charter Hall Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Charter Hall Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Charter Hall Group | CHC | Australia | Q2308A138 | Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spring Real Estate Investment Trust | 1426 | Hong Kong | Y81293105 | Special | 10-Nov-17 | 06-Nov-17 | Shar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spring Real Estate Investment Trust | 1426 | Hong Kong | Y81293105 | Special | 10-Nov-17 | 06-Nov-17 | Shar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Starwood Waypoint Homes | SFR | USA | 85572F105 | Special | 14-Nov-17 | 13-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Starwood Waypoint Homes | SFR | USA | 85572F105 | Special | 14-Nov-17 | 13-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Starwood Waypoint Homes | SFR | USA | 85572F105 | Special | 14-Nov-17 | 13-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Arena REIT | ARF | Australia | Q0457C152 | Annual | 15-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Arena REIT | ARF | Australia | Q0457C152 | Annual | 15-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Arena REIT | ARF | Australia | Q0457C152 | Annual | 15-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Arena REIT | ARF | Australia | Q0457C152 | Annual | 15-Nov-17 | 13-Nov-17 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | New World Development Co. Ltd. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | New World Development Co. Ltd. | 17 | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | New World Development Co. Ltd. | 17 | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Hotel REIT Investment Corp | 8985 | Japan | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Hotel REIT Investment Corp | 8985 | Japan | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Hotel REIT Investment Corp | 8985 | Japan | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Hotel REIT Investment Corp | 8985 | Japan | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Hotel REIT Investment Corp | 8985 | Japan | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Special | 22-Nov-17 | 31-Oct-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Special | 22-Nov-17 | 31-Oct-17 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Special | 22-Nov-17 | 31-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| ALPS, Inc. - Principal Real Estate | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |

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| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invesco Office J-Reit Inc. | 3298 | Japan | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Centrepoint Trust | J69U | Singapore | Y2642S101 | Annual | 23-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Centrepoint Trust | J69U | Singapore | Y2642S101 | Annual | 23-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Centrepoint Trust | J69U | Singapore | Y2642S101 | Annual | 23-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Centrepoint Trust | J69U | Singapore | Y2642S101 | Annual | 23-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Logistics and Industrial Trust | BUOU | Singapore | Y26465107 | Annual | 25-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Logistics and Industrial Trust | BUOU | Singapore | Y26465107 | Annual | 25-Jan-18 | | Mar |
| Income Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Logistics and Industrial Trust | BUOU | Singapore | Y26465107 | Annual | 25-Jan-18 | | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Frasers Logistics and Industrial Trust | BUOU | Singapore | Y26465107 | Annual | 25-Jan-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Special | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Special | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Special | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |

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|--|------|----------------------------|------|-------------------|-----------|---------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Safestore Holdings plc | | United Kingdom | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Safestore Holdings plc | SAFE | United Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Safestore Holdings plc | SAFE | United Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sekisui House Reit Inc. | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| | 9712 | | 3309 | Japan | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Sekisui House Reit Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Cromwell Property Group | CMW | Australia | Q2995J103 | Special | 28-Mar-18 | 26-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tokyo Tatemono Co. Ltd. | 8804 | Japan | J88333133 | Annual | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tokyo Tatemono Co. Ltd. | 8804 | Japan | J88333133 | Annual | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tokyo Tatemono Co. Ltd. | 8804 | Japan | J88333133 | Annual | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Hispania Activos Inmobiliarios SOCIMI SA | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Hispania Activos Inmobiliarios SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |

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ALPS, Inc. -
Principal
Real Estate
Income
Fund

Hispania Activos
Inmobiliarios
SOCIMI SA

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Hispania Activos
Inmobiliarios
SOCIMI SA

HIS

Spain

E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
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9712

Hispania Activos
Inmobiliarios
SOCIMI SA

HIS

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E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
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Hispania Activos
Inmobiliarios
SOCIMI SA

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Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -
Principal
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Hispania Activos
Inmobiliarios
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E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
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Fund

9712

Fabege AB

FABG

Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -
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Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -
Principal
Real Estate
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Fund

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Fabege AB

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Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -
Principal
Real Estate
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W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -
Principal
Real Estate

9712

Fabege AB

FABG

Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

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Fund

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13 | Mexico | P2R51T187 Annual | 11-Apr-18 | 03-Apr-18 | Mar |
| | 9712 | | TERRA 13 | Mexico | P2R51T187 Annual | 11-Apr-18 | 03-Apr-18 | Mar |

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|--|------|---|----------------|-----------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. - Principal Real Estate Income Fund | | TF Administradora S. de R.L. de C.V. (TERRAFINA) | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual | 11-Apr-18 | 03-Apr-18 | Mar | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| | 9712 | | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Fonciere des Regions | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Fonciere des Regions | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fonciere des Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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|--|------|---------------------------------|------|-------------------|-----------|--------|-----------|-----------|-----|
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SEGRO plc | SGRO | United Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Annual | 23-Apr-18 | | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Annual | 23-Apr-18 | | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Far East Hospitality Trust | Q5T | Singapore | Y24258108 | Annual | 23-Apr-18 | | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP | United Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico | FIBRAMQ 12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |

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|--|------|-----------------------------|-----|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kimco Realty Corporation | KIM | USA | 49446R109 | Annual | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Klepierre | LI | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Klepierre | LI | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |
| | 9712 | Klepierre | LI | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |

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ALPS, Inc. -
Principal
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ALPS, Inc. -
Principal
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APAC Realty
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|--|------|----------------------------------|------|-----------|------------------|--|-----------|-----|
| ALPS, Inc. - Principal Real Estate Income Fund | | APAC Realty Limited | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APAC Realty Limited | CLN | Singapore | Y0170A104 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APAC Realty Limited | CLN | Singapore | Y0170A104 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APAC Realty Limited | CLN | Singapore | Y0170A104 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APAC Realty Limited | CLN | Singapore | Y0170A104 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APAC Realty Limited | CLN | Singapore | Y0170A104 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CapitaLand Retail China Trust | AU8U | Singapore | Y1092E109 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CapitaLand Retail China Trust | AU8U | Singapore | Y1092E109 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CapitaLand Retail China Trust | AU8U | Singapore | Y1092E109 Annual | | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CapitaLand Retail China Trust | AU8U | Singapore | Y1092E109 Annual | | 25-Apr-18 | Mar |
| | 9712 | | AU8U | Singapore | Y1092E109 Annual | | 25-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | CapitaLand Retail China Trust | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CapitaLand Retail China Trust | AU8U | Singapore | Y1092E109 | Annual | 25-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Echo Investment S.A. | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Echo Investment S.A. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Echo Investment S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | alstria office REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Land & Houses Public Co. Ltd. | LH | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Land & Houses Public Co. Ltd. | LH | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Land & Houses Public Co. Ltd. | LH | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Fortune Real Estate Investment Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |

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| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Park Hotels & Resorts Inc. | PK | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STAG Industrial, Inc. | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| | 9712 | | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | AIV | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | APARTMENT INVESTMENT AND MANAGEMENT COMPANY | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Terreno Realty Corporation | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Terreno Realty Corporation | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| | 9712 | | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Atrium European Real Estate Ltd | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Atrium European Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Prologis, Inc. | PLD | USA | 74340W103 | Annual | 02-May-18 | 06-Mar-18 Mar |

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ALPS, Inc. -
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ALPS, Inc. -
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02-May-18 06-Mar-18 Mar

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Prologis, Inc.

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02-May-18 06-Mar-18 Mar

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City Office REIT,
Inc.

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03-May-18 02-Mar-18 Mar

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City Office REIT,
Inc.

CIO

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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,
Inc.

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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,
Inc.

CIO

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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,
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03-May-18 02-Mar-18 Mar

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City Office REIT,
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03-May-18 02-Mar-18 Mar

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| ALPS, Inc. - Principal Real Estate Income Fund | | Physicians Realty Trust | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Physicians Realty Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Sunstone Hotel Investors, Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sunstone Hotel Investors, Inc. | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Welltower Inc. | WELL | USA | 95040Q104 | Annual | 03-May-18 | 06-Mar-18 Mar |

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ALPS, Inc. -
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03-May-18 06-Mar-18 Mar

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Merlin Properties
SOCIMI, SA

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06-May-18 30-Apr-18 Mar

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| ALPS, Inc. - Principal Real Estate Income Fund | | Merlin Properties SOCIMI, SA | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Merlin Properties SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |
| | 9712 | | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Brixmor Property Group Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Brixmor Property Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | DDR Corp. | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | DDR Corp. | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |
| | 9712 | DDR Corp. | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |

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Simon Property
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| ALPS, Inc. - Principal Real Estate Income Fund | | Simon Property Group, Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Simon Property Group, Inc. | SPG | USA | 828806109 | Annual | 08-May-18 | 15-Mar-18 Shar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| | 9712 | | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | WPT Industrial Real Estate Investment Trust | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | WPT Industrial Real Estate Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| | 9712 | | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | CYS Investments, Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CYS Investments, Inc. | CYS | USA | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special | 14-May-18 | 04-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special | 14-May-18 | 04-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special | 14-May-18 | 04-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TF Administradora S. de R.L. de C.V. (TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special | 14-May-18 | 04-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 Mar |
| | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |

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ALPS, Inc. -
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ALPS, Inc. -
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ALPS, Inc. -
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Income
Fund

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Altarea

ALTA

France

F0261X121

Annual/Special

15-May-18

10-May-18Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Altarea

ALTA

France

F0261X121

Annual/Special

15-May-18

10-May-18Mar

ALPS, Inc. -
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Annual/Special

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ALPS, Inc. -
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Annual/Special

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ALPS, Inc. -
Principal
Real Estate

9712

Altarea

ALTA

France

F0261X121

Annual/Special

15-May-18

10-May-18Mar

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|--|------|-------------------------------|-----|-----|-----------|--------|-----------|-----------|-----|
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Essex Property Trust, Inc. | ESS | USA | 297178105 | Annual | 15-May-18 | 28-Feb-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Essex Property Trust, Inc. | ESS | USA | 297178105 | Annual | 15-May-18 | 28-Feb-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |

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|--|------|--------------------------------|------|-----|-----------|--------|-------------------------|
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | ESH Hospitality, Inc. | | USA | 30224P211 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Extended Stay America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | | CCI | USA | 22822V101 | Annual | 17-May-18 23-Mar-18 Mar |

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|--|------|--|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Crown Castle International Corp. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |

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|--|------|--|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Crown Castle International Corp. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Crown Castle International Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| | 9712 | | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |

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|--|------|---------------------------------------|-----|---------|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | INDEPENDENCE REALTY TRUST, INC. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | INDEPENDENCE REALTY TRUST, INC. | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | LEG Immobilien AG | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar |

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ALPS, Inc. -
Principal
Real Estate
Income
Fund

LEG Immobilien
AG

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

LEG Immobilien
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

LEG Immobilien
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LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

LEG Immobilien
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Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

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LEG Immobilien
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Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

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LEG Immobilien
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Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

LEG Immobilien
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Liberty Property
Trust

LPT

USA

531172104 Annual

17-May-18 20-Feb-18 Mar

ALPS, Inc. -
Principal
Real Estate

9712

Liberty Property
Trust

LPT

USA

531172104 Annual

17-May-18 20-Feb-18 Mar

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|--|------|--------------------------|-----|-----|-----------|--------|-----------|-----------|-----|
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sun Communities, Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |

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|--|------|---|-----|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Tanger Factory Outlet Centers, Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 | Mar |

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|--|------|---|------|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Alexandria Real Estate Equities, Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Kilroy Realty Corporation | KRC | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 | 03-Apr-18 Mar |
| | 9712 | | INVH | USA | 46187W107 | Annual | 24-May-18 | 03-Apr-18 Mar |

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|--|------|--------------------------|------|-----|-----------|--------|-------------------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Invitation Homes Inc. | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Invitation Homes Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |

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|--|------|--------------------------|-----|---------|-----------|--------|-----------|--------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | Invitation Homes Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | TLG Immobilien AG | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Irish Residential Properties REIT plc | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Irish Residential Properties REIT plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Rental Housing Investments Inc. | 8986 | Japan | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Rental Housing Investments Inc. | 8986 | Japan | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Rental Housing Investments Inc. | 8986 | Japan | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Rental Housing Investments Inc. | 8986 | Japan | J2753A102 | Special | 29-May-18 | 31-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Japan Rental Housing Investments Inc. | 8986 | Japan | J2753A102 | Special | 29-May-18 | 31-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | SOHO China Ltd. | 410 | Cayman Islands | G82600100 | Annual | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | CubeSmart | CUBE | USA | 229663109 | Annual | 30-May-18 | 15-Mar-18 | Mar |

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ALPS, Inc. -
Principal
Real Estate
Income
Fund

ALPS, Inc. -
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9712

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15-Mar-18 Mar

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USA

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Annual

30-May-18

15-Mar-18 Mar

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862121100

Annual

31-May-18

02-Apr-18 Mar

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|--|------|------------------------------|------|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | STORE Capital Corporation | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |

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|--|------|------------------------------|------|-----|-----------|---------------|-----------|-----------|------|
| ALPS, Inc. - Principal Real Estate Income Fund | | STORE Capital Corporation | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | STORE Capital Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |
| | 9712 | | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Taubman Centers, Inc. | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Taubman Centers, Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | EPR Properties | EPR | USA | 26884U109 | Annual | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | EPR Properties | EPR | USA | 26884U109 | Annual | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | EPR Properties | EPR | USA | 26884U109 | Annual | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | EPR Properties | EPR | USA | 26884U109 | Annual | 01-Jun-18 | 09-Mar-18 |

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ALPS, Inc. -
Principal
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9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

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Equinix, Inc.

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13-Apr-18 Mar

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13-Apr-18 Mar

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13-Apr-18 Mar

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Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Shar

9712

Gramercy
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

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Gramercy
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

9712

Gramercy
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

9712

Gramercy
Property Trust

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12-Jun-18

16-Apr-18 Mar

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Property Trust

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Gramercy
Property Trust

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12-Jun-18

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Gramercy
Property Trust

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Gramercy
Property Trust

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16-Apr-18 Mar

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Annual

12-Jun-18

16-Apr-18 Mar

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ALPS, Inc. -
Principal
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Fund

Deutsche Wohnen
SE

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Deutsche Wohnen
SE

DWNI

Germany

D2046U176 Annual

15-Jun-18

24-May-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Deutsche Wohnen
SE

DWNI

Germany

D2046U176 Annual

15-Jun-18

24-May-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Kenedix Retail
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Kenedix Retail
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Kenedix Retail
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

Kenedix Retail
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

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Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

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|--|------|---------------------------------|------|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. - Principal Real Estate Income Fund | | Sabra Health Care REIT, Inc. | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Sabra Health Care REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| | 9712 | | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |

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| ALPS, Inc. - Principal Real Estate Income Fund | | Spirit Realty Capital, Inc. | | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Spirit Realty Capital, Inc. | SRC | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Mitsui Fudosan Co. Ltd. | 8801 | Japan | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Mitsui Fudosan Co. Ltd. | 8801 | Japan | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Mitsui Fudosan Co. Ltd. | 8801 | Japan | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
| | 9712 | | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 | Mar |

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|--|------|-------------------------|------|-------------|-----------|--------|-----------|---------------|
| ALPS, Inc. - Principal Real Estate Income Fund | | InterXion Holding NV | | | | | | |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | InterXion Holding NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |

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ALPS, Inc. -
Principal
Real Estate
Income
Fund

InterXion Holding
NV

ALPS, Inc. -
Principal
Real Estate
Income
Fund

9712

InterXion Holding
NV

INXN

Netherlands N47279109 Annual

29-Jun-18 01-Jun-18 Mar

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**Principal Real Estate
Income Fund**

By: /s/ Jeremy Held
Jeremy Held
President

Date: August 29, 2018