

TFS Financial CORP
Form 8-K
September 16, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934
Date of Report (Date of earliest event reported) September 15, 2016

TFS FINANCIAL CORPORATION
(Exact name of registrant as specified in its charter)

United States of America 001-33390 52-2054948
(State or other jurisdiction (Commission (IRS Employer
of incorporation) File Number) Identification No.)

7007 Broadway Ave., Cleveland, Ohio 44105
(Address of principle executive offices) (Zip Code)
Registrant's telephone number, including area code (216) 441-6000
Not applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01. Other Events.

TFS Financial Corporation (the “Company”) reported that on September 15, 2016, Marc A. Stefanski, the Chairman and Chief Executive Officer of the Company, entered into a stock trading plan implemented pursuant to Rule 10b5-1 under the Securities and Exchange Act of 1934, as amended.

Mr. Stefanski’s trading plan contemplates the cashless exercise and net settlement of options to acquire up to 490,700 shares of Company Stock with an exercise price of \$11.74 per share, when the trading price of the Company’s common stock reaches a specified amount. The exercise and settlement of options pursuant to Mr. Stefanski’s plan could begin as early as October 2016 and will end no later than August 2018. The plan does not involve any sales of shares in the open market.

Transactions made under the 10b5-1 plan will be disclosed publicly through Form 4 filings with the U.S. Securities and Exchange Commission. Except as may be required by law, the Company does not undertake to report on specific Rule 10b5-1 pre-planned stock trading plans of Company officers, nor to report modifications or terminations of the aforementioned 10b5-1 plans or the plan of any other individual.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TFS FINANCIAL
CORPORATION
(Registrant)

Date: September 16, 2016 By: /s/ Paul J. Huml
Paul J. Huml
Chief Operating Officer