Wegener Wolfgang Form 4 January 21, 2009

### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Wegener Wolfgang

(Last)

Common

Stock

(First) (Middle)

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

AMHERST, NY 14228-1197

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction

(Month/Day/Year) 01/19/2009

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

X\_ Officer (give title

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Vice President

10% Owner

Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

(City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

Reported

Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

6. Ownership 7. Nature of

(9-02)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Wegener Wolfgang - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Secur<br>(Instr. 3 and 4) |                        |
|---|---|---|---|--|---|--|--------------------|---|------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Am<br>or<br>Nu<br>of S |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 29   |   |   |  |   | 06/01/2002   | 05/31/2008         | Common<br>Stock   | 1,                     |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 20.6   |   |   |  |   | 04/01/2003   | 03/31/2009         | Common<br>Stock   | 18                     |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 10   |   |   |  |   | 08/20/2005   | 08/19/2011         | Common<br>Stock   | 15                     |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 5.46   |   |   |  |   | 05/17/2005   | 05/16/2014         | Common<br>Stock   | 15                     |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 28.45  |   |   |  |   | (3)  | 05/18/2018         | Common<br>Stock   | 1,                     |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 20.86  | 01/19/2009                              |   | A                                      | 10,000  | <u>(4)</u>   | 10/17/2016         | Common<br>Stock   | 10                     |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                   |       |  |
|--|---------------|-----------|-------------------|-------|--|
|  | Director      | 10% Owner | Officer           | Other |  |
| Wegener Wolfgang<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228-1197 |               |           | Vice<br>President |       |  |
| Signatures   |               |           |                   |       |  |

### Signatures

| Wolfgang<br>Wegener             | 01/21/2009 |  |  |
|---------------------------------|------------|--|--|
| **Signature of Reporting Person | Date       |  |  |

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All exercisable, subject to IRS limitations.
- Originally a 20,000 share stock option. Reporting person exercised 3,615 options on March 22, 2006, 1,200 options on March 23, 2006 and 185 options on March 24, 2006. The remaining 15,000 options are fully exercisable.
- Represents non-qualified stock options (originally reported as incentive stock options) issued to reporting person under the Columbus (3) McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 25% per year, beginning 5/19/09 for the next 4 years.
- 10,000 incentive stock options issued to reporting person on October 17, 2006 have been cancelled and 10,000 non-qualified stock
   (4) options have been issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 75% on January 19, 2010 and 100% on October 17, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.