Campbell Michael T Form 4 August 10, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Campbell Michael T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

Houston Wire & Cable CO [HWCC] 3. Date of Earliest Transaction

(Check all applicable)

**HOUSTON WIRE & CABLE** 

(First)

(Middle)

(Month/Day/Year) 08/04/2017

X\_ Director 10% Owner Officer (give title Other (specify

COMPANY, 10201 NORTH LOOP **EAST** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77029

(State) (Zip) (City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 6. Ownership

Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security Conversion

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

5. Number

6. Date Exercisable and

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: Campbell Michael T - Form 4

| (Instr. 3)               | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8) |   | Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     | (Month/Day/Year)    |                    | (Instr. 3 and 4)                        |                                       |
|--------------------------|---|------------|-------------------------|--------------------|---|---|-----|---------------------|--------------------|---|---------------------------------------|
|                          |   |            |                         | Code               | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title                                   | Amour<br>or<br>Number<br>of<br>Shares |
| RESTRICTED<br>STOCK UNIT | (1)   | 08/04/2017 |                         | A                  |   | 9,375   |     | (2)                 | (2)                | COMMON<br>STOCK,<br>\$.001 PAR<br>VALUE | 9,37:                                 |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Campbell Michael T HOUSTON WIRE & CABLE COMPANY 10201 NORTH LOOP EAST HOUSTON, TX 77029

X

## **Signatures**

NICOL G. GRAHAM, ATTORNEY-IN-FACT

08/04/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive cash equal to the fair market value of one share of HWCC common stock or, following stockholder approval of the Houston Wire & Cable Company 2017 Stock Plan, one share of HWCC common stock.
  - RESTRICTED STOCK UNITS VEST ON THE DATE OF THE COMPANY'S 2018 ANNUAL MEETING OF STOCKHOLDERS.
- (2) RESTRICTED STOCK UNITS WILL SETTLE ON THE DATE THAT IS 30 DAYS AFTER THE DATE OF THE REPORTING PERSON'S TERMINATION OF SERVICE ON THE BOARD OF DIRECTORS.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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