NOBLE ROMANS INC

Form 4 May 12, 2015

### FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Washington, D.C. 20549

**SECURITIES** 

burden hours per response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MOBLEY PAUL W

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

NOBLE ROMANS INC [NROM]

(Check all applicable)

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director

X 10% Owner \_X\_ Other (specify

ONE VIRGINIA AVENUE, SUITE

(Street)

(State)

05/12/2015

Officer (give title below) below) **Executive Chairman** 

300

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

**INDIANAPOLIS, IN 46204** 

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

100,000 A

5. Amount of 7. Nature of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) (Instr. 4)

(A) or

(D)

Price

Reported Transaction(s)

(Instr. 3 and 4)

Noble

Roman's 05/12/2015 Common

Code V

X

3,166,035

D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Edgar Filing: NOBLE ROMANS INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of       | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5. Number of          | 6. Date Exer | cisable and     | 7. Title and  | Amount of             |  |
|-------------------|-------------|---------------------|--------------------|-------------|-----------------------|--------------|-----------------|---------------|-----------------------|--|
| Derivative        | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | TransactiorDerivative |              | Expiration Date |               | Underlying Securities |  |
| Security          | or Exercise |                     | any                | Code        | Securities            | (Month/Day   | Year)           | (Instr. 3 and | 4)                    |  |
| (Instr. 3)        | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Acquired (A) or       |              |                 |               |                       |  |
|                   | Derivative  |                     |                    |             | Disposed of (D)       |              |                 |               |                       |  |
|                   | Security    |                     |                    |             | (Instr. 3, 4, and     |              |                 |               |                       |  |
|                   |             |                     |                    |             | 5)                    |              |                 |               |                       |  |
|                   |             |                     |                    |             |                       | Date         | Expiration      | Title         | Amount                |  |
|                   |             |                     |                    | Code V      | (A) (D)               | Exercisable  | Date            |               | Shares                |  |
| Employee<br>Stock | \$ 1.05     | 05/12/2015          |                    | X           | 100,000               | <u>(1)</u>   | 01/25/2021      | Common        | 100,00                |  |
| Option            |             |                     |                    |             |                       |              |                 | Stock         |                       |  |

# **Reporting Owners**

| Reporting Owner Name / Address                                            | Relationships |           |         |                    |  |  |
|---------------------------------------------------------------------------|---------------|-----------|---------|--------------------|--|--|
| reporting 6 with Funder Fundaments                                        | Director      | 10% Owner | Officer | Other              |  |  |
| MOBLEY PAUL W<br>ONE VIRGINIA AVENUE, SUITE 300<br>INDIANAPOLIS, IN 46204 | X             | X         |         | Executive Chairman |  |  |

## **Signatures**

/s/Paul Mobley 05/12/2015

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in three equal installments on January 25, 2011, 2012 and 2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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